



LAKE COUNTY/CITY AREA PLANNING COUNCIL

Regional Transportation Planning Agency

Fiscal Year 2015/16

BUDGET

Approved by Board of Directors: June 10, 2015

Prepared By:

Lake APC Staff
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(707)234-3314

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LAKE COUNTY/CITY AREA PLANNING COUNCIL

Lisa Davey-Bates, Executive Director
www.lakeapc.org

367 North State Street, Ukiah, CA 95482
Administration: Suite 204 ~ 707-234-3314
Planning: Suite 206 ~ 707-263-7799

June 1, 2015

TO: Lake County/City Area Planning Council (APC) Board of Directors

FROM: Lisa Davey-Bates, Executive Director

RE: **Lake APC 2015/16 Fiscal Year Budget**

The 2015/16 budget is submitted for your adoption at our board meeting on June 10, 2015. The draft budget summary was presented and reviewed at the last board meeting on May 13, 2015. The budget document includes many additional informational items and supporting documentation that were not originally included with the draft budget summary. Following is a brief summary of the four main components of the Lake APC's Budget:

Administration

In the Transportation Development Act (TDA), funds for Administration of the agency are placed at the top of the priorities for allocation, in amounts "as necessary". The Administration budget has similar ongoing line items from year to year, such as the Davey-Bates Consulting contract agreement, travel expenses, board member reimbursement, Lake County Auditor-Controller's annual expense, the annual financial audit and a few annual memberships the APC participates in. Contingency funds are added to the budget to capture expenses that go above and beyond the administrative contract, or for unexpected expenditures.

Bicycle & Pedestrian

TDA allows up to two percent of Local Transportation Funds (LTF) available each year, after Administration is allocated, to provide for facilities "for the exclusive use of pedestrians and bicycles." Lake APC allocates the full two percent annually to a separate fund, and awards funds on a competitive application basis.

Planning

Although the Lake APC has many functions, a major responsibility is planning and managing the Overall Work Program (OWP). After allocating Local Transportation Funds (LTF) to the Administration and Bicycle and Pedestrian funds, the APC then allocates approximately \$50,000-75,000 of Local Transportation Funds to complete projects under the OWP. Along with the LTF Funds, the APC also receives Rural Planning Assistance (RPA), and Planning, Programming and Monitoring (PPM) Funds to fund the OWP. Depending on the projects and monies available, the Lake APC typically has grant funds programmed in the work program. The OWP is developed by APC staff, but is reviewed and approved by the Technical Advisory Committee (TAC), prior to be presented to the board for final approval.

Transit

After allocating Local Transportation Funds (LTF) for Administration, Bicycle and Pedestrian, and Planning funds, the balance of remaining LTF is then allocated to Lake Transit Authority (LTA), the only eligible claimant in Lake County. LTA also receives State Transit Assistance (STA) funds. This fiscal year LTA will receive STA funding in the amount of \$327,205 based the preliminary estimate provided by the State Controller's Office.

I hope you find this document informational and helpful. Please feel free to contact me with any questions you may have about the 2015/16 Lake APC Budget or other issues.

Best Regards,

A handwritten signature in blue ink that reads "Lisa Davey-Bates". The signature is written in a cursive, flowing style.

Lisa Davey-Bates
Executive Director

/ldb

Enclosures



LAKE COUNTY/CITY AREA PLANNING COUNCIL

FY 2015/16

FINAL - BUDGET SUMMARY

REVENUES					COMMENTS:				
	Budget				Actual				Year-to-Date Total
	Adopted:			Actual	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr	
LOCAL:									
<u>Local Transportation Funds (LTF)</u>									
Local Transportation Funds (LTF) Estimated-2015/16	\$ 1,300,000	\$ -	\$ -	\$ 1,300,000					\$0.00
LTF Carry-Over from 2014/15 Work Program	\$ 5,506	\$ -	\$ -	\$ 5,506					Estimated 2015/16 Revenues
LTF Carry-Over from 2014/15 LTA Allocation	TBD	\$ -	\$ -	\$ -					2014/15 actual carryover amounts will be relected in the 1st Amendment
LTF Carry-over -2% Bike & Ped - 2014/15 Allocation	TBD	\$ -	\$ -	\$ -					
LTF Carry-over - Administration - 2014/15 Allocation	TBD	\$ -	\$ -	\$ -					
LTF Carry-over -5% CTSA- 2014/15 Allocation	TBD	\$ -	\$ -	\$ -					
LTF Carry-Over - Exec Directors Reserve 2014/15	TBD	\$ -	\$ -	\$ -					Reserve Account Balance will be adjusted to reflect the actual amount in the Final
LTF Carry-Over - OWP Planning Reserve Account	TBD	\$ -	\$ -	\$ -					
Total Local Transportation Funds:	\$ 1,305,506	\$ -	\$ -	\$ 1,305,506					
<u>Planning Programming & Monitoring (PPM) Funds</u>									
Planning Programming & Monitoring (PPM) Funds-2015/16	\$ 41,000	\$ -	\$ -	\$ 41,000					
PPM Carry-Over Funds from 2014/15 Work Program	\$ -	\$ -	\$ -	\$ -					
Total PPM Funds:	\$ 41,000	\$ -	\$ -	\$ 41,000					
Total Local Revenues:	\$ 1,346,506	\$ -	\$ -	\$ 1,346,506					
STATE:									
<u>Rural Planning Assistance Funds (RPA)</u>									
Rural Planning Assistance (RPA) Funds programmed in 2015/16	\$ 294,000	\$ -	\$ -	\$ 294,000					2015/16 Allocation
Rural Planning Assistance (RPA) Grant Funds 2015	\$ 40,000	\$ -	\$ -	\$ 40,000					RPA Grant funds awarded to complete the ATP Plan for Lake County
RPA Carryover Funds from 2014/15 OWP	TBD	\$ -	\$ -	\$ -					2014/15 carryover amounts will be relected in the Final or 1st Amendment
Total RPA Funds:	\$ 334,000	\$ -	\$ -	\$ 334,000					
<u>State Highway Account - Tranit Planning:</u>									
Transit Energy Reduction Plan (WE 621) - FY 2014/15 Carryover	\$ 42,495	\$ -	\$ -	\$ 42,495					Estimated carryover - Actual amount will be relected in the 1st Amendment
<u>State Highway Account - Sustainable Communities:</u>									
Transit Hub Location Plan (WE 609) - FY 2015/16	\$ 84,095	\$ -	\$ -	\$ 84,095					Grant Awarded to complete the Transit Hub Locatio Plan in FY 2015/16
<u>State Transit Assistance (STA) Funds</u>									
STA Allocation to Lake Transit Authority	\$ 327,205	\$ -	\$ -	\$ 327,205					2015/16 STA Alloc. - Allocation based on preliminary estimate.
STA Carry-Over to Lake Transit Authority 2014/15	TBD	\$ -	\$ -	\$ -					2014/15 carryover amounts will be relected in the Final or 1st Amendment
Total State Revenues:	\$ 787,795	\$ -	\$ -	\$ 787,795					
FEDERAL:									
<u>Regional Surface Transportation Program (RSTP)</u>									
RSTP Local Agency Distribution (2014/15):	\$ 805,301	\$ -	\$ -	\$ 805,301					Passes through to cities/County
Total RSTP Funds for Distribution:	\$ 805,301	\$ -	\$ -	\$ 805,301					Apportionment for FY 2014/15. Allocation will be received in 2015/16
<u>5311 Federal Funds - FFY 2015</u>									
	\$ 363,944			\$ 363,944					FFY 2015-Regional Apportionment to LTA - Projected
Total Federal Revenues:	\$ 1,169,245	\$ -	\$ -	\$ 1,169,245					
GRAND TOTAL REVENUES	\$ 3,303,546	\$ -	\$ -	\$ 3,303,546					

ALLOCATIONS
COMMENTS:

	Budget					Actual				Year-to-Date Total	
	Adopted:	Adjustment	Adjustment	Estimated	Actual	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr		
LOCAL:											
<u>Local Transportation Funds (LTF)</u>											
Administration Breakdown:											
DBC Contract (July 1, 2015 to June 30, 2016)	\$	253,030	\$	-	\$	253,030					DBC Admin. Contract for FY 2015/16 (\$248,556) + CPI Increase 1.8% (\$4,474.01)
Board Member Reimbursement for Meetings	\$	4,000	\$	-	\$	4,000					\$50 per diem reimbursement to board members for meeting attendance
Travel Expenses (uncontracted)	\$	2,500	\$	-	\$	2,500					Covers expenses for travel not included in contract or work program.
Lake County Auditor/Controller	\$	6,000	\$	-	\$	6,000					Accounting services by the County of Lake Auditor's Office
Fiscal Audit	\$	9,500	\$	-	\$	9,500					Annual requirement of TDA to audit LTF funds
Triennial Performance Audit FY 12/13-14/15	\$	12,000	\$	-	\$	12,000					Triennial Performance Audit is scheduled to be completed in FY 2015/16
CalCOG Dues	\$	1,444	\$	-	\$	1,444					Facilitates communication between COGs, local officials, state/federal agencies & public
National Assoc. for Regional Councils	\$	215	\$	-	\$	215					NARC dues to assist RTPAs at national level on important issues locally
Contingency	\$	6,000	\$	-	\$	6,000					Unexpected costs beyond typical annual LTF expenses
Total 2015/16 Administration Allocations	\$	294,689	\$	-	\$	294,689					Increases due to DBC Administrative Contract and Triennial Audit
LTF Carry-Over - Administration - 2014/15 Allocation	\$	-	\$	-	\$	-					Carryover amount to be determined
Bicycle and Pedestrian Reserve Fund	\$	20,106	\$	-	\$	20,106					2% LTF Allocation for Bike and Pedestrian Purposes
LTF Carry-over -2% Bike & Ped - 2014/15 Allocation		TBD	\$	-	\$	-					Carryover amount to be determined
LTF 2015/16 Work Program Allocation	\$	60,905	\$	-	\$	60,905					
LTF Carry-Over from 2014/15 Work Program	\$	5,506	\$	-	\$	5,506					Estimated Carryover amount, actuals will be reflected in the 1st Amendment
LTF (Article 4.5) 5% Allocation to CTSA - 2015/16	\$	-	\$	-	\$	-					\$167,948 Available in CTSA Account from Previous Allocation for NEMT
LTF Carry-over -5% CTSA- 2014/15 Allocation		TBD	\$	-	\$	-					Carryover amount to be determined
LTF Allocation to Lake Transit Authority 2015/16	\$	924,300	\$	-	\$	924,300					
LTF Carry-Over from 2014/15 LTA Allocation		TBD	\$	-	\$	-					Carryover amount to be determined
LTF Reserve Accounts											
LTF Carry-Over - Exec Directors Reserve 2014/15		TBD	\$	-	\$	-					Executive Directors Reserve Account Balance
LTF Carry-Over - OWP Planning Reserve Account		TBD	\$	-	\$	-					To be included in Final
Total LTF Allocations:	\$	1,305,506	\$	-	\$	1,305,506					
<u>Planning Programming & Monitoring (PPM) Funds</u>											
Planning Programming & Monitoring (PPM) Funds	\$	41,000	\$	-	\$	41,000					2015/16 PPM Allocation Amount
PPM Carry-Over from 2013/14 Work Program	\$	-	\$	-	\$	-					Carryover amount to be determined
Total PPM Allocations:	\$	41,000	\$	-	\$	41,000					
Total Local Allocations:	\$	1,346,506	\$	-	\$	1,346,506					
STATE:											
<u>Rural Planning Assistance Funds (RPA)</u>											
Rural Planning Assistance (RPA) Funds programmed in 2014/15	\$	294,000			\$	294,000					
Rural Planning Assistance (RPA) Grant Funds 2015	\$	40,000	\$	-	\$	40,000					RPA Grant funds awarded to complete the ATP Plan for Lake County
RPA Carryover Funds from 2013/14 OWP	\$	-	\$	-	\$	-					Carryover amount to be determined
Total RPA Funds:	\$	334,000	\$	-	\$	334,000					
<u>State Highway Account - Transit Planning:</u>											
Transit Energy Reduction Plan (WE 621) - FY 2013/14 Carryover	\$	42,495	\$	-	\$	42,495					Estimated Carryover -Actuals will be reflected in 1st Amendment
<u>State Highway Account - Sustainable Communities:</u>											
Transit Hub Location Plan (WE 609) - FY 2015/16	\$	84,095	\$	-	\$	84,095					Grant Awarded to complete the Transit Hub Locatio Plan in FY 2015/16
<u>State Transit Assistance (STA) Funds</u>											
STA Allocation to Lake Transit Authority	\$	327,205		\$	-	\$	327,205				2015/16 STA Alloc. - Based on preliminary estimate.
STA Carry-Over to Lake Transit Authority 2014/15	\$	-	\$	-	\$	-					Actual carryover amounts will be adjusted in the 1st amendment.
Total State Allocations:	\$	787,795	\$	-	\$	787,795					
FEDERAL:											
<u>Regional Surface Transportation Program (RSTP)</u>											
RSTP Local Agency Distribution (2013/14):											2015/16 Actuals
Lakeport (8%)	\$	64,424	\$	-	\$	64,424					Passes through to cities/County
Clearlake (22%)	\$	177,166	\$	-	\$	177,166					Distributed based on population.
Lake County (70%)	\$	318,837	\$	-	\$	318,837					County's separate RSTP 182.6(d2) apportionment-\$244,873 included in formula
5311 Federal Funds - FFY 2015	\$	363,944			\$	363,944					FFY 2015-Regional Apportionment to LTA - Projected
Total Federal Allocations:	\$	1,169,245	\$	-	\$	1,169,245					
GRAND TOTAL ALLOCATIONS	\$	3,303,546	\$	-	\$	3,303,546					

Updated: 6/1/15 AJP



LAKE COUNTY/CITY AREA PLANNING COUNCIL

FY 2014/15

BUDGET SUMMARY - 2ND AMENDMENT 5/13/15

[illegible]

Tranist Development Plan (WE 606) - FY 2013/14 Carryover	\$	28,772	\$	9,705	\$	-	\$	38,477						Actual Carry Over Amount from 13/14
<u>Regional Surface Transportation Program (RSTP)</u>	\$	-	\$	-	\$	-	\$	-						Passes through to cities/County
RSTP Local Agency Distribution (2013/14):	\$	804,915	\$	-	\$	-	\$	804,915						Apportionment for FY 2013/14. Allocation will be received in 2014/15
Total RSTP Funds for Distribution:	\$	804,915	\$	-	\$	-	\$	804,915						
<u>5311 Federal Funds - FFY 2014</u>	\$	434,526					\$	434,526						FFY 2014-Regional Apportionment to LTA - Projected
Total Federal Revenues:	\$	1,268,213	\$	9,705	\$	-	\$	1,277,918						
GRAND TOTAL REVENUES	\$	3,376,876	\$	460,429	\$	(50,838)	\$	3,786,467						

ALLOCATIONS		COMMENTS:

COMMENTS:

[illegible]

Transit Energy Reduction Plan (WE 621) - FY 2013/14 Carryover	\$	86,316	\$	9,798	\$	-	\$	96,114						Actual Carry Over Amount from 13/14
<u>State Transit Assistance (STA) Funds</u>														
STA Allocation to Lake Transit Authority	\$	347,435			\$	(2,293)	\$	345,142						2014/15 STA Alloc. - Amendment based on revised Estimate dated 8-12-14.
STA Carry-Over to Lake Transit Authority 2013/14	\$	-	\$	4,057			\$	4,057						Actual caarryover amounts will be adjusted in the 1st amendment.
Total State Allocations:	\$	729,751	\$	27,407	\$	(2,293)	\$	754,865						
FEDERAL:														
<u>Regional Surface Transportation Program (RSTP)</u>	\$	804,915	\$	-	\$	-	\$	804,915						2014/15 Actuals
RSTP Local Agency Distribution (2013/14):														Passes through to cities/County
Lakeport (8%)	\$	64,393	\$	-	\$	-	\$	64,393						Distributed based on population.
Clearlake (22%)	\$	177,081	\$	-	\$	-	\$	177,081						
Lake County (70%)	\$	318,568	\$	-	\$	-	\$	318,568						County's separate RSTP 182.6(d2) apportionment-\$244,873 included in formula
<u>FTA Section 5304 - Technical Transit:</u>														
Tranist Development Plan (WE 606) - FY 2013/14 Carryover	\$	28,772	\$	9,705	\$	-	\$	38,477						Actual caarryover amounts will be adjusted in the 1st amendment.
<u>5311 Federal Funds - FFY 2014</u>	\$	434,526					\$	434,526						FFY 2014-Regional Apportionment to LTA - Projected
Total Federal Allocations:	\$	1,268,213	\$	9,705	\$	-	\$	1,277,918						Updated: 4/6/15 AJP
GRAND TOTAL ALLOCATIONS	\$	3,376,876	\$	394,035	\$	(2,292)	\$	3,786,467						



LAKE COUNTY/CITY AREA PLANNING COUNCIL

FY 2013/14

1ST AMENDMENT - BUDGET SUMMARY

REVENUES

COMMENTS:

	Budget				Actual				Year-to-Date Total	
	Adopted:	Adjustment	Adjustment	Actual	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr		
LOCAL:										
<u>Local Transportation Funds (LTF)</u>										
Local Transportation Funds (LTF) Estimated-2013/14	\$ 1,300,000		\$ -	\$ 1,300,000						
LTF Carry-Over from 2012/13 Work Program	\$ 19,248	\$ 18,263	\$ -	\$ 37,511						Actual Carryover from 2012/13 OWP
LTF Carry-Over from 2012/13 LTA Allocation	\$ -	\$ -	\$ -	\$ -						
LTF Carry-over -2% Bike & Ped - 2012/13 Allocation	\$ -	\$ -	\$ -	\$ -						
LTF Carry-over - Administration - 2012/13 Allocation	\$ -	\$ -	\$ -	\$ -						
Total Local Transportation Funds:	\$ 1,319,248	\$ 18,263	\$ -	\$ 1,337,511						
<u>Planning Programming & Monitoring (PPM) Funds</u>										
Planning Programming & Monitoring (PPM) Funds-2013/14	\$ 64,000		\$ -	\$ 64,000						Total 2013/14 PPM Allocation
PPM Carry-Over Funds from 2012/13 Work Program	\$ 25,225	\$ (1,751)	\$ -	\$ 23,474						Actual Carryover from 2012/13 OWP
Total PPM Funds:	\$ 89,225	\$ (1,751)	\$ -	\$ 87,474						
Total Local Revenues:	\$ 1,408,473	\$ 16,512	\$ -	\$ 1,424,985						
STATE:										
<u>Rural Planning Assistance Funds (RPA)</u>										
Rural Planning Assistance (RPA) Funds programmed in 2013/14	\$ 275,000			\$ 275,000						
RPA Carryover Funds from 2012/13 OWP	\$ 10,687	\$ 444		\$ 11,131						Actual Carryover from 2012/13 OWP
Total RPA Funds:	\$ 285,687	\$ 444	\$ -	\$ 286,131						
<u>State Transit Assistance (STA) Funds</u>										
STA Allocation to Lake Transit Authority	\$ 355,210	\$ 14,721		\$ 369,931						2013/14 STA Alloc. - Based on Revised Estimate dated 8/1/13.
STA Carry-Over to Lake Transit Authority 2012/13	\$ 26,209			\$ 26,209						Remaining Balance from FY 2012/13 (Reso. 12-13-5)
<u>Community-Based Transportation Planning Grant Carry-Over</u>	\$ 18,000	\$ 10,091		\$ 28,091						Carried over to complete the Clearlake Downtown Corridor Plan - CO will be reflected in Final
<u>State Planning & Research Funds Carry-Over</u>	\$ 33,000	\$ 22,334	\$ -	\$ 55,334						Carried Over to complete the SR 29 S. Corridor Engineering Study -CO will be reflected in Final
Total State Revenues:	\$ 718,106	\$ 47,590	\$ -	\$ 765,696						
FEDERAL:										
<u>Rural Blueprint Grant Funds:</u>										
Rural Blueprint Carry-Over Funds from 2012/13 OWP	\$ 36,500	\$ 7,531		\$ 44,031						Carried over to complete Phase V of the Regional Blueprint Program - Amounts will be reflected in Final
<u>Transit Planning - FTA 5304 Grant Funds:</u>										
FTA 5304 Carry-Over Funds from 2012/13 OWP	\$ 42,052	\$ 39,414		\$ 81,466						Carried over to complete Transit Development Plan - WE 606
<u>Partnership Planning Grant Carry-Over</u>	\$ 27,200	\$ 33,163		\$ 60,363						Carried Over to complete the Middletown Community Action Plan
<u>Regional Surface Transportation Program (RSTP)</u>	\$ -		\$ -	\$ -						
RSTP Local Agency Distribution (2013/14):	\$ 531,113	\$ 110,927	\$ -	\$ 642,040						Actual 2012/13 RSTP Apportionment. Distributed in FY 2013/14
Total RSTP Funds for Distribution:	\$ 531,113	\$ 110,927	\$ -	\$ 642,040						
<u>5311 Federal Funds - FFY 2013</u>	\$ 350,432			\$ 350,432						FFY 2013-Regional Apportionment to LTA
Total Federal Revenues:	\$ 987,297	\$ 191,035	\$ -	\$ 1,178,332						
GRAND TOTAL REVENUES	\$ 3,113,876	\$ 255,137	\$ -	\$ 3,369,013						

ALLOCATIONS

COMMENTS:

	Budget				Actual				Year-to-Date Total	
	Adopted:	Adjustment	Adjustment	Estimated Actual	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr		
LOCAL:										
<u>Local Transportation Funds (LTF)</u>										
Administration Breakdown:										According to contract increase = \$140,161 + \$5,707 (proposed increase) + \$3,267.44 (CPI 2.24 % Increase)
<i>Dow & Associates Contract</i>	\$ 149,135	\$ -	\$ -	\$ 149,135						
<i>Board Member Reimbursement for Meetings</i>	\$ 4,000	\$ -	\$ -	\$ 4,000						
<i>Travel Expenses (uncontracted)</i>	\$ 2,500	\$ -	\$ -	\$ 2,500						Covers expenses for travel not included in contract or work program.
<i>Lake County Auditor/Controller</i>	\$ 6,000	\$ -	\$ -	\$ 6,000						
<i>Fiscal Audit</i>	\$ 9,000	\$ -	\$ -	\$ 9,000						
<i>CalCOG Dues</i>	\$ 1,444	\$ -	\$ -	\$ 1,444						No change in annual dues.
<i>National Assoc. for Regional Councils</i>	\$ 215	\$ -	\$ -	\$ 215						NARC dues to assist RTPAs at national level on important issues locally
<i>Contingency</i>	\$ 6,000	\$ -	\$ -	\$ 6,000						Covers minor ongoing & unexpected costs. Increase due to Conf Call Expenses.
Total 2013/14 Administration Allocations	\$ 178,294	\$ -	\$ -	\$ 178,294						
LTF Carry-over - Administration - 2012/13 Allocation	\$ -	\$ -	\$ -	\$ -						Unexpended funds from FY 2011/12.
Bicycle and Pedestrian Reserve Fund	\$ 22,434	\$ -	\$ -	\$ 22,434						2% LTF Allocation for Bike and Pedestrian Purposes
LTF Carry-over -2% Bike & Ped - 2012/13 Allocation	\$ -	\$ -	\$ -	\$ -						These funds have been carried over and are expected to be allocated in this FY.
LTF 2013/14 Work Program Allocation	\$ 50,000	\$ 80,000	\$ -	\$ 50,000						
LTF Carry-Over from 2012/13 Work Program	\$ 19,248	\$ 18,263		\$ 37,511						Actual Carryover from 2012/13 OWP
LTF (Article 4.5) 5% Allocation to CTSA - 2013/14	\$ 56,085	\$ -	\$ -	\$ 56,085						Reso 13-14-7 Authorizes 5% of LTF Revenues to CTSA for NEMT Program
LTF Carry-over -5% CTSA- 2012/13 Allocation	\$ -	\$ -	\$ -	\$ -						
LTF Allocation to Lake Transit Authority	\$ 993,186	\$ 963,186	\$ -	\$ 993,186						
LTF Carry-Over from 2012/13 LTA Allocation	\$ -	\$ -	\$ -	\$ -						Remaining LTA 2010/11 Allocation (Reso 11-12-4).
Total LTF Allocations:	\$ 1,319,248	\$ 1,061,449	\$ -	\$ 1,337,511						
<u>Planning Programming & Monitoring (PPM) Funds</u>										
Planning Programming & Monitoring (PPM) Funds	\$ 64,000	\$ -	\$ -	\$ 64,000						Total 2013/14 PPM Allocation
PPM Carry-Over from 2012/13 Work Program	\$ 25,225	\$ (1,751)	\$ -	\$ 23,474						Actual Carryover from 2012/13 OWP
Total PPM Allocations:	\$ 89,225	\$ (1,751)	\$ -	\$ 87,474						
Total Local Allocations:	\$ 1,408,473	\$ 1,059,698	\$ -	\$ 1,424,985						
STATE:										
<u>Rural Planning Assistance Funds (RPA)</u>										
Rural Planning Assistance (RPA) Funds programmed in 2013/14	\$ 275,000			\$ 275,000						
RPA Carryover Funds from 2012/13 OWP	\$ 10,687	\$ 444		\$ 11,131						Carryover Amounts will be adjusted in the 1st amendment.
Total RPA Funds:	\$ 285,687	\$ 444	\$ -	\$ 286,131						
<u>State Transit Assistance (STA) Funds</u>										
STA Allocation to Lake Transit Authority	\$ 355,210	\$ 14,721		\$ 369,931						2013/14 STA Alloc. - Based on Revised Estimate dated 8/1/13.
STA Carry-Over to Lake Transit Authority 2012/13	\$ 26,209	\$ -		\$ 26,209						Remaining Balance from FY 2012/13 (Reso. 12-13-5)
<u>Community-Based Transportation Planning Grant Carry-Over</u>	\$ 18,000	\$ 10,091		\$ 28,091						Carried over to complete the Clearlake Downtown Corridor Plan
<u>State Planning & Research Funds Carry-Over</u>	\$ 33,000	\$ 22,334	\$ -	\$ 55,334						Carried Over to complete the SR 29 S. Corridor Engineering Study
	\$ -			\$ -						
Total State Allocations:	\$ 718,106	\$ 47,590	\$ -	\$ 765,696						
FEDERAL:										
<u>Regional Surface Transportation Program (RSTP)</u>	\$ 531,113	\$ 110,927	\$ -	\$ 642,040						2012/13 Actual RSTP Allocation-Passes through to cities/County
RSTP Local Agency Distribution (2012/13):										
Lakeport (8%)	\$ 62,079	\$ 8,874		\$ 70,953						Distributed based on population.
Clearlake (22%)	\$ 170,717	\$ 24,404		\$ 195,121						
Lake County (70%)	\$ 298,317	\$ 77,649		\$ 375,966						County's separate RSTP 182.6(d2) apportionment-\$244,873 included in formula
<u>Rural Blueprint Grant Funds:</u>										
Rural Blueprint Carry-Over Funds from 2012/13 OWP	\$ 36,500	\$ 7,531		\$ 44,031						Carried over to complete V of the Regional Blueprint Program

<u>Transit Planning - FTA 5304 Grant Funds:</u>										
FTA 5304 Carry-Over Funds from 2012/13 OWP	\$	42,052	\$	39,414		\$	81,466			Carried over to complete Transit Development Plan - WE 606
<u>Partnership Planning Grant Carry-Over</u>	\$	27,200	\$	33,163		\$	60,363			Carried Over to complete the Middletown Community Action Plan
<u>5311 Federal Funds - FFY 2013</u>										
5311 Funds Allocated to Lake Transit Authority	\$	350,432				\$	350,432			FFY 2012-Regional Apportionment to LTA
Total Federal Allocations:	\$	987,297	\$	118,458	\$	-	\$	1,178,332		
GRAND TOTAL ALLOCATIONS		\$ 3,113,876	\$ 1,225,746	\$	-	\$ 3,369,013				

SUPPORTING DOCUMENTATION FOR 2015/16 APC BUDGET

- NOTES ON FUNDING SOURCES
- TRANSPORTATION DEVELOPMENT ACT (TDA) BUDGET CALENDAR
- PROPOSED APC RESOLUTIONS 15-16-1 THROUGH 15-16-6
- DAVEY-BATES CONSULTING ADMINISTRATIVE CONTRACT
AGREEMENT FOR PROFESSIONAL SERVICES



LAKE COUNTY/CITY AREA PLANNING COUNCIL

2015/16 BUDGET

Explanatory Notes on Funding Sources

June 10, 2015

LTF - Local Transportation Fund

- Generated from quarter-cent countywide sales tax
- Governed by the Transportation Development Act (TDA)
- Allocated by Regional Transportation Planning Agencies
- Fund estimate provided by County Auditor-Controller
- Transportation planning and public transit systems are supported by these revenues according to TDA

LTF Reserve

- Fund balance due to unanticipated funds generated above County Auditor's estimates, and/or unexpended funds from projects in the annual Work Program.

Local Agency Match

- Local matching funds are required for most state and federal grants
- Lake Transit Authority contributes the required local match for their projects
- Lake APC provides required cash match from local planning funds in Overall Work Program

PPM - Planning, Programming & Monitoring / SB 45

- Apportioned by State to Regional Transportation Planning Agencies for work associated with State Transportation Improvement Program (STIP) projects
- Up to 5% of Regional Improvement Program (RIP) funds in the STIP may be used for eligible activities
- Lake APC has programmed funds for planning work elements and Project Study Reports (PSRs)
- PPM Funds must be spent within three years of receiving them

STA - State Transit Assistance

- Generated from sales taxes on diesel
- Governed by the Transportation Development Act (TDA)
- Eligibility open only to transit operators – Lake Transit Authority (LTA)
- May be used for either Operations (subject to an eligibility formula) or for Capital. LTA typically uses for Capital purposes
- Fund estimate provided by State Controller
- For FY 2006/07 and 2007/08, revenues were unusually high, as a result of the rising price of gasoline.
- For FY 2008/09, staff was concerned with the possibility that the STA preliminary estimate in the amount of \$668,352 would not materialize and requested that the APC Board members consider keeping approximately one quarter's allocation (\$167,088) in the fund in case of revenue fluctuations, and only released \$501,264 to LTA. Staff was correct in estimating that the STA funds would not fully materialize for the full amount of \$668,352, and were drastically decreased in a revised estimate by \$532,036, leaving \$136,316 to be allocated to LTA.
- For FY 2009/10 NO STA funds were allocated.
- LTA's allocation for FY 2010/11 was \$355,794, FY 2011/12 was \$294,918, \$424,695 for FY 2012/13 and \$350,432 for FY 2013/14.

RPA - Rural Planning Assistance

- This program is funded by the State for required Overall Work Program (OWP) mandated planning functions
- RPA funds are received on a reimbursable basis. The majority must be expended in the year in which they are received, however beginning July 1, 2009, Caltrans began allowing 25% of RPA funds to be carried-over into the following OWP

RSTP – Federal/Regional Surface Transportation Program, Section 182.6(d)(1)

- Under ISTEA legislation originally and continued in subsequent federal transportation bills
- RSTP is for regional discretionary transportation uses, in compliance with U.S. Code, Title 23 and California Constitution, Article 19
- As allowed, Lake APC exchanges for state funds by agreement with Caltrans, eliminating federal requirements
- Lake APC policy allocates new RSTP apportionments by population formula to County and Cities and requires the local agencies to submit Expenditure Reports prior to receiving additional funding

FHWA - State Planning and Research

- The State Planning & Research Program (SP&R) is authorized by Title 23, USC & is regulated under 23 CFR Part 420
- States are required to set aside 2 percent of the apportionments they receive from federal transportation bill for State planning and research activities
- Of this amount, States must allocate 25 percent for research, development, and technology
- In recent years, Lake APC administered several SP&R grant projects for Caltrans District 1

Transportation Planning Grant Programs

- Funded by: FTA - Federal Transit Administration, FHWA - Federal Highway Administration, and Caltrans – State Highway Account
- Intended to promote strong and healthy communities, economic growth, and protection of our environment. These planning grants support closer placement of jobs and housing, efficient movement of goods, community involvement in planning, safe and convenient pedestrian and bicycle mobility and access, smart or strategic land use, and commute alternatives. The seven planning categories available are:
 - Environmental Justice: Context-Sensitive Planning
 - Community-Based Transportation Planning
 - Partnership Planning
 - Transit Planning
 - Statewide Planning Studies
 - Transit Technical Planning Assistance
 - Transit Professional Development
- Eligible applicants include transit operators, public agencies, private non-profit organizations, Native American tribal governments, and universities

With the passage of MAP-21 in March 2012, the construct of the Federal transportation bill has significantly changed how transportation projects will be funded/allocated. MAP-21 is a two-year transportation bill and a portion of the funding will be allocated to the States as mandated. The State of California is currently considering several legislative bills to determine how the flexible portion of the funds will be distributed in future grant cycles.

Active Transportation Program

- In September 26, 2013, Governor Brown signed legislation creating the Active Transportation Program (ATP) in the Department of Transportation-Senate Bill 99 and Assembly Bill 101. The ATP consolidates existing federal and state transportation programs, including the Transportation Alternatives Program (TAP), Bicycle Transportation Account (BTA), and State Safe Routes to School (SR2S), into a single program with a focus to make California a national leader in active transportation
- The ATP is a competitive program, and is administered by the Division of Local Assistance, Office of Active Transportation and Special Programs
- The purpose of ATP is to encourage increased use of active modes of transportation by achieving the following goals: Increase the proportion of trips accomplished by biking and walking, Increase safety and mobility for non-motorized users, Advance the active transportation efforts of regional agencies to achieve greenhouse gas reduction goals, Enhance public health, Ensure that disadvantaged communities fully share in the benefits of the program, and Provide a broad spectrum of projects to benefit many types of active transportation users.



LAKE COUNTY/CITY AREA PLANNING COUNCIL

Lisa Davey-Bates, Executive Director
www.lakeapc.org

367 North State Street, Ukiah, CA 95482
Administration: Suite 204 ~ 707-234-3314
Planning: Suite 206 ~ 707-263-7799

Transportation Development Act (TDA) Budget Calendar

January	County Auditor provides annual estimate of Local Transportation Funds (LTF) revenues to Lake APC by due date February 1.
February	Lake APC Staff prepares preliminary draft budget, including available LTF, State Transit Assistance (STA), Capital Reserve, and planning grant funds for Administration, 2% Bike and Pedestrian, Planning and Transit allocations.
March	APC Staff advises eligible claimants in the county of the County Auditor's estimate and anticipated area apportionments by population.
April	Claimants submit requests for LTF and STA Funding to Lake APC
September	Claimants submit reports on extension of services to Lake APC
June	Lake APC adopts the annual budget, allocating funds for Administration, Bicycle & Pedestrian, Planning and Transit.

LAKE COUNTY/CITY AREA PLANNING COUNCIL

RESOLUTION 15-16-1

ALLOCATION FOR 2015/16 ADMINISTRATIVE PURPOSES

THE AREA PLANNING COUNCIL HEREBY FINDS, DECLARES AND RESOLVES THAT:

WHEREAS, the projected reasonable administrative expenses for the Area Planning Council for fiscal year 2015/16 will be approximately the sum of \$294,689; and

WHEREAS, the projected expenses are necessary and reasonable,

NOW, THEREFORE, BE IT RESOLVED THAT:

The Area Planning Council hereby allocates the sum of \$294,689 for the administrative purposes pursuant to Public Utilities Code Section 99233.1 and hereby authorizes the Executive Director to expend said funds on all reasonable and necessary administrative purposes, including each of the following:

1. Payment for the fiscal audits of 2015/16 for the APC, Lake Transit Authority and SAFE in the approximate sum of \$9,500.
2. Payment for the triennial audits inclusive of Fiscal Year's 2012/13, 2013/14 and 2014/15 for the APC, Lake Transit Authority and SAFE in the approximate sum of \$12,000.
3. Payment to the Lake County Auditor's Office for services to be performed in the year 2015/16 in the approximate sum of \$6,000.
4. Payment to Davey-Bates Consulting for services as the Administration and Fiscal Services Contractor in the sum of \$253,030 in monthly installments of \$21,085.84 for twelve months.
5. Payment to the Area Planning Council members in the sum of \$50.00 for each meeting attended up to \$4,000.
6. Member dues to CalCOG in the amount of \$1,444 and to National Association for Regional Councils in the amount of \$215.
7. Contingency funds in the amount of \$6,000 to be used as necessary to cover unexpected costs such as member travel expenses, conference calling, committee dues, etc.
8. For the Lake County/City Area Planning Council's staff travel expenses in the sum of \$2,500 to be used for travel not included in the contract or Work Program.

Adoption of this Resolution was moved by Director _____, seconded by Director _____, and carried on this 10th day of June 2015, by the following roll call vote:

AYES:

NOES:

ABSENT:

WHEREUPON, THE CHAIRMAN DECLARED THE RESOLUTION ADOPTED, AND SO ORDERED.

ATTEST: Lisa Davey-Bates
Executive Director

Marsha Wharff, Chair
APC Member

LAKE COUNTY/CITY AREA PLANNING COUNCIL

RESOLUTION 15-16-2

ALLOCATION OF 2015/16 BICYCLE AND PEDESTRIAN PURPOSES

THE AREA PLANNING COUNCIL HEREBY FINDS, DECLARES AND RESOLVES THAT:

WHEREAS, bicyclists and pedestrians have special needs in Lake County; and

WHEREAS, the Area Planning Council, the County of Lake and the City of Lakeport, and City of Clearlake have adopted the Lake County Bike Plan; and

WHEREAS, the Lake County Bike Plan contains as a stated policy that 2% of the fund balance remaining after allocation for administrative purposes be set aside for bicycle and pedestrian purposes:

NOW, THEREFORE, BE IT RESOLVED THAT:

The Area Planning Council hereby allocates the sum of \$20,106 for bicycle and pedestrian purposes pursuant to the Public Utilities Code Section 99233.3 and directs that such funds be held until approval of a specific claim utilizing said funds.

Adoption of this Resolution was moved by Director _____, seconded by Director _____, and carried on this 10th day of June 2015, by the following roll call vote:

AYES:

NOES:

ABSENT:

WHEREUPON, THE CHAIRMAN DECLARED THE RESOLUTION ADOPTED, AND SO ORDERED.

ATTEST: Lisa Davey-Bates
Executive Director

Marsha Wharff, Chair
APC Member

LAKE COUNTY/CITY AREA PLANNING COUNCIL

RESOLUTION 15-16-3

ALLOCATION FOR 2015/16 WORK PROGRAM

THE AREA PLANNING COUNCIL HEREBY FINDS, DECLARES AND RESOLVES THAT:

WHEREAS, the Area Planning Council has approved the proposed 2015/16 Work Program; and

WHEREAS, the expenditure of funds implements the major planning process that takes place by the Area Planning Council; and

WHEREAS, the expenditure of funds is deemed to be reasonable and necessary; and

WHEREAS, 2014/15 Work Program has carry-over funding into the 2015/16 Work Program; and

WHEREAS, funds are also available from State Rural Planning Assistance; the Federal Transit Authority; and Senate Bill 45;

NOW, THEREFORE, BE IT RESOLVED THAT:

The Area Planning Council hereby allocates the sum of \$60,905 to be expended upon the 2015/16 Work Program pursuant to Public Utilities Code Section 99400(c) and Administrative Code Section 6646.

Adoption of this Resolution was moved by Director _____, seconded by Director _____, and carried on this 10th day of June 2015, by the following roll call vote:

AYES:

NOES:

ABSENT:

WHEREUPON, THE CHAIRMAN DECLARED THE RESOLUTION ADOPTED, AND SO ORDERED.

ATTEST: Lisa Davey-Bates
Executive Director

Marsha Wharff, Chair
APC Member

LAKE COUNTY/CITY AREA PLANNING COUNCIL

RESOLUTION 15-16-4

2015/16 ALLOCATION TO LAKE TRANSIT AUTHORITY

THE AREA PLANNING COUNCIL HEREBY FINDS, DECLARES AND RESOLVES THAT:

WHEREAS, transportation needs have been identified throughout Lake County, including within the City of Lakeport and the City of Clearlake, including transportation to the elderly, handicapped and persons of low income; and

WHEREAS, a Joint Powers Agency known as Lake Transit Authority, has been established to provide public transportation services and transportation services to the elderly and handicapped throughout Lake County, the City of Lakeport, and the City of Clearlake; and

WHEREAS, by Resolution Lake County, City of Lakeport, and City of Clearlake have each authorized Lake Transit Authority to claim its apportionment of those funds designated as Local Transportation Funds, received by the Area Planning Council pursuant to the Transportation Development Act; and

WHEREAS, Lake Transit Authority has entered into a contract with Paratransit Services to provide transportation services in Lake County, the City of Lakeport, and City of Clearlake; and

WHEREAS, the proposed expenditure of funds by Lake Transit Authority is in accordance with the Lake County Regional Transportation Plan of 2010;

NOW, THEREFORE, BE IT RESOLVED THAT:

The Area Planning Council hereby allocates from the Local Transportation fund the sum of \$924,300 to Lake Transit Authority for transportation purposes pursuant to Public Utilities Code Section 99262, for use by Lake Transit Authority for the purpose of providing transportation services in fiscal year 2015/16.

Adoption of this Resolution was moved by Director _____, seconded by Director _____, and carried on this 10th day of June 2015, by the following roll call vote:

AYES:

NOES:

ABSENT:

WHEREUPON, THE CHAIRMAN DECLARED THE RESOLUTION ADOPTED, AND SO ORDERED.

ATTEST: Lisa Davey-Bates
Executive Director

Marsha Wharff, Chair
APC Member

LAKE COUNTY/CITY AREA PLANNING COUNCIL

RESOLUTION 15-16-5

ALLOCATION OF STA FUNDS TO LAKE TRANSIT AUTHORITY

THE AREA PLANNING COUNCIL HEREBY FINDS, DECLARES AND RESOLVES THAT:

WHEREAS, the State legislature has created the State Transit Assistance Fund with the intent to offset reductions in Federal operating assistance, to give priority consideration to claims to offset the unanticipated increase in the cost of fuel, to enhance existing public transportation services, and to meet high priority regional, county-wide or area-wide public transportation needs; and

WHEREAS, there are transportation needs in Lake County, City of Lakeport, and City of Clearlake; and

WHEREAS, Lake Transit Authority has been formed to provide transportation services in Lake County, the City of Lakeport and the City of Clearlake; and

WHEREAS, Lake Transit Authority has entered into a contract with Paratransit Services to provide the necessary transportation services; and

WHEREAS, the level of passenger fares and charges provided in the contract shall enable the operator to meet the fare revenue requirements of Public Utilities Code Sections 99268.2, 99268.3, 99268.5 and 99268.9 as they may be applicable to this claimant; and

WHEREAS, the claimant is making full use of Federal funds available under the Federal Transportation Act; and

WHEREAS, the sum of the claimant's allocation from the State Transit Assistance fund and from the Local Transportation fund does not exceed the amount the claimant is eligible to receive during the fiscal year; and

WHEREAS, the claimant is eligible for the allocations from the State Transit Assistance fund for such purposes;

NOW, THEREFORE, BE IT RESOLVED THAT:

The Area Planning Council hereby allocates the sum of \$327,205 to Lake Transit Authority from the State Transit Assistance funds pursuant to Public Utilities Code Section 99313.3 to partially fund the contract entered into between Lake Transit Authority and Paratransit Services to provide public transportation services within Lake County, City of Lakeport, and City of Clearlake in fiscal year 2015/16.

Adoption of this Resolution was moved by Director_____, seconded by Director_____, and carried on this 10th day of June 2015, by the following roll call vote:

AYES:
NOES:
ABSENT:

WHEREUPON, THE CHAIRMAN DECLARED THE RESOLUTION ADOPTED, AND SO ORDERED.

ATTEST: Lisa Davey-Bates
Executive Director

Marsha Wharff, Chair
APC Member

LAKE COUNTY/CITY AREA PLANNING COUNCIL

RESOLUTION 15-16-6

APPROVAL OF 2015/16 STIP PLANNING, PROGRAMMING AND MONITORING (PPM) FUND TRANSFER AGREEMENT

THE AREA PLANNING COUNCIL HEREBY FINDS, DECLARES AND RESOLVES THAT:

WHEREAS, the Lake County/City Area Planning Council (APC) is the designated Regional Transportation Planning Agency for Lake County; and

WHEREAS, the APC has programmed STIP Planning Programming & Monitoring (PPM) funding in its 2015/16 Overall Work Program for the implementation of the transportation planning process brought about by the passage of SB45, which became effective January 1, 1998; and

WHEREAS, the APC is required to execute a STIP Planning, Programming & Monitoring Program Fund Transfer Agreement in order to receive 2015/16 funding;

NOW, THEREFORE, BE IT RESOLVED THAT:

The Lake County/City Area Planning Council hereby approves the 2015/16 STIP Planning, Programming & Monitoring Program Fund Transfer Agreement and authorizes the Executive Director to execute the agreement.

Adoption of this Resolution was moved by Director _____, seconded by Director _____, and carried on this 10th day of June 2015, by the following roll call vote:

AYES:

NOES:

ABSENT:

WHEREUPON, THE CHAIRMAN DECLARED THE RESOLUTION ADOPTED, AND SO ORDERED.

ATTEST: Lisa Davey-Bates
Executive Director

Marsha Wharff, Chair
APC Member

LAKE COUNTY/CITY AREA PLANNING COUNCIL
PROFESSIONAL SERVICES AGREEMENT WITH DAVEY-BATES
CONSULTING

AMENDMENT NO. 1

Amendment No. 1 is entered into this 8th day of April, 2015 by and between the Lake County/City Area Planning Council, herein after referred to as “APC” and Davey-Bates Consulting, hereinafter referred to as “Contractor”.

WITNESSETH

WHEREAS, an Agreement was entered into on September 26, 2014 to perform fiscal and administrative services to the APC; and

WHEREAS, the term of this agreement was for the period from October 1, 2014 through September 30, 2019; and

WHEREAS, SECTION 1 – WORK TO BE PERFORMED, of this Agreement states CONTRACTOR shall provide services, tasks and products detailed in CONTRACTOR’S PROPOSAL, or Exhibit A, of the AGREEMENT for professional services with Davey-Bates; and

WHEREAS, SECTION 15 – EXTENT OF AGREEMENT, of the Agreement states there shall not be modifications except by written agreement of both parties; and

WHEREAS, in the second paragraph Lake APC Cost Plan Summary of CONTRACTOR’S Proposal (Page 22) states, “...a “lump sum bid” and includes all expenses necessary to conduct administrative services for Lake APC. To clarify, costs include...trainings and conferences... and all other operating expenses”; and

WHEREAS, the CONTRACTOR’S Cost Plan Summary of the Proposal shall be revised from “lump sum bid” to “not to exceed bid” to clarify language of Proposal; and

WHEREAS, the CONTRACTOR’S Cost Plan Summary will be revised to replace wording of “trainings and conferences” to “mileage and routine travel”; and

WHEREAS, additional language is hereby added to clarify that travel for COG officers, and fees for trainings and conferences shall be funded separately by APC; and

WHEREAS, it is now mutually agreed by APC and CONTRACTOR that the CONTRACT TERMS of this Agreement should be amended to provide the above-mentioned clarifications.

NOW, THEREFORE, we agree as follows:

The Cost Plan Summary of the CONTRACTOR’S Proposal shall now be a “not to exceed” contract for compensation, and that travel beyond mileage and routine travel shall be funded separately by APC.

IN WITNESS WHEREOF, the parties hereto, by their duly authorized representatives, have affixed their hands on the day and year this Amendment No. 1 first above written.

LAKE COUNTY/CITY AREA PLANNING COUNCIL DAVEY-BATES CONSULTING

BY: Marsha Wharff 4-8-15
Marsha Wharff, Chair Date

BY: Lisa Davey-Bates 4/8/15
Lisa Davey-Bates, Owner Date

LAKE COUNTY/CITY AREA PLANNING COUNCIL

AGREEMENT FOR PROFESSIONAL SERVICES

WITH

DAVEY-BATES CONSULTING

This Agreement is entered into on September 26, 2014, by and between the Lake County/City Area Planning Council, hereinafter referred to as "APC", and Davey-Bates Consulting (DBC), hereinafter referred to as "CONTRACTOR."

WITNESSETH:

WHEREAS, APC may retain independent contractors to perform special, technical, expert, or professional services; and

WHEREAS, APC wishes to extend to the residents of the County certain services which CONTRACTOR is equipped, staffed, licensed and prepared to provide; and

WHEREAS, CONTRACTOR is willing and able to perform duties and render services which are determined by APC to be necessary or appropriate for the welfare of residents of County; and

WHEREAS, APC believes the provision of these services to the residents is in their best interests, and CONTRACTOR agrees to perform such duties and render such services, as outlined more specifically below:

NOW, THEREFORE, The APC and CONTRACTOR agree as follows:

1. WORK TO BE PERFORMED

The term of this Agreement shall be from October 1, 2014 through September 30, 2019.

CONTRACTOR has been selected by APC to provide those services, tasks and products detailed in CONTRACTOR'S Scope of Services, Implementation Plan & Schedule, Company Background & Experience, and Cost Plan & Narrative (Exhibit A), which was prepared in response to APC's Request for Proposals.

If CONTRACTOR is requested to provide additional services not included with the Scope of Services, APC and CONTRACTOR may amend this agreement to provide for those services based upon the rates of payment specified in Table B and C of Exhibit A.

2. COMPENSATION

Compensation for fiscal and administrative services as set forth in Exhibit A is fixed dollar amount for each fiscal year, payable in monthly installments, at the convenience of the Lake County Clerk-Auditor:

Fiscal Year 2014/15: \$185,222 (October 1 through June 30, 2015)

Fiscal Year 2015/16: \$248,556

Fiscal Year 2016/17: \$250,333

Fiscal Year 2017/18: \$252,314
Fiscal Year 2018/19: \$254,524
Fiscal Year 2019/20: \$63,631 (July 1 through Sept. 30, 2019-based on FY 2018/19 rates)

CONTRACTOR will also receive compensation for providing administrative services to the Lake Service Authority for Freeway Emergencies (SAFE) program. Compensation for SAFE administration as set forth in Exhibit A is also a fixed dollar amount for each fiscal year, payable in monthly installments by the Lake County Clerk-Auditor:

Fiscal Year 2014/15: \$10,937 (October 1 through June 30, 2015)
Fiscal Year 2015/16: \$14,661
Fiscal Year 2016/17: \$14,748
Fiscal Year 2017/18: \$14,846
Fiscal Year 2018/19: \$14,955
Fiscal Year 2019/20: \$3,739 (July 1 through Sept. 30, 2019-based on FY 2018/19 rates)

An annual Cost of Living Adjustment (COLA) will be applied to the base proposals (above) for APC and SAFE administration, based on the California Consumer Price Index (All Urban Consumers, California Department of Industrial Relations, Division of Labor Statistics and Research).

3. SUBCONTRACTING

CONTRACTOR may subcontract portions of the agreed upon services. Subcontractors shall maintain the same standard of services as is required of CONTRACTOR. CONTRACTOR shall continue to remain responsible for fulfilling the terms of this Agreement.

4. SERVICES TO BE PROVIDED

CONTRACTOR agrees to perform the services set forth in Exhibit A.

Execution of this Agreement by the APC shall constitute CONTRACTOR's authority to proceed immediately with the performance of the work described by Exhibit A, provided that evidence of insurance has been received by the APC as specified under Section 9 below.

CONTRACTOR acknowledges that timely performance of services is an important element of this Agreement and will perform services in a timely manner consistent with sound professional practices.

5. FINANCIAL RECORDS

APC, CONTRACTOR and all subcontractors shall maintain all source documents, accounting records, and other supporting papers connected with performance of work under this Agreement for a minimum of three (3) years from the date of final payment, or until annual audit resolution is achieved, whichever is later.

All such supporting information shall be made available for inspection and audit by representatives of State of California Department of Transportation (State), the California State Auditor, and auditors representing the federal government which pertain to services performed and determination amounts payable under this Agreement.

CONTRACTOR will provide an adequate financial management and accounting system as required by 48 Code of Federal Regulations (CFR) Part 16.301-3, 48 CFR Part 31 and 49 CFR Part 18.

6. COMPLIANCE WITH LAWS AND ORDINANCES

CONTRACTOR, in the conduct of the services contemplated within this agreement, shall comply with all statutes, State or Federal as may be applicable, and all ordinances, rules and regulations enacted or issued by the County of Lake.

7. COMPLIANCE WITH LICENSING REQUIREMENTS

CONTRACTOR will comply with all necessary licensing requirements and must obtain appropriate licenses and display the same in a location that is reasonably conspicuous.

8. OWNERSHIP OF EQUIPMENT

CONTRACTOR shall furnish necessary office equipment to perform services under this Agreement. Title to all property acquired by CONTRACTOR in connection with this Agreement or the services rendered pursuant thereto shall vest in CONTRACTOR.

9. INSURANCE

CONTRACTOR, at its expense, shall secure and maintain at all times during the entire period of performance of this Agreement, insurance as set forth herein with insurance companies acceptable to the APC for the APC's protection, its elected or appointed officials, employees and volunteers, CONTRACTOR and subcontractor from any and all claims which may arise from operations under this Agreement, whether operations be by CONTRACTOR or by a subcontractor, or by anyone directly or indirectly employed by either of them.

CONTRACTOR shall provide to the APC Certificates of Insurance evidencing minimum coverage as specified below:

Automobile Liability - Vehicle/Bodily Injury - \$100,000
Each Person, \$300,000 Each Occurrence, Vehicle/Property
Damage - \$100,000 Each Occurrence, and Uninsured
Motorists \$100,000 each Person/\$300,000 each occurrence

OR

Combined Single Limit Vehicle Bodily Injury and Property
Damage Liability - \$1,000,000 Each Occurrence

AND

General Liability - \$2,000,000, Personal Injury -
\$1,000,000, and Each Occurrence Limit - \$1,000,000

AND

Worker's Compensation and Employer's Liability: Limits
as required by the labor code of the State of California.

In the event of breach of any provision of this Section, or in the event any notice is received which indicates any required insurance coverage will be diminished or canceled, the APC, at its option, may, notwithstanding any other provision of this Agreement to the contrary, immediately declare a material breach of this Agreement and suspend further work pursuant to this Agreement.

CONTRACTOR shall not commence work, nor shall it allow its employees or subcontractors or anyone to commence work contemplated through this Agreement until all insurance required hereunder has been submitted to and accepted by the APC. Failure to submit proof of insurance as required herein may result in awarding said Agreement to another bidder.

Insurance coverage in a minimum amount set forth herein shall not be construed to relieve CONTRACTOR for liability in excess of such coverage, nor shall it preclude the APC from taking such other action as is available to it under any other provisions of this Agreement or otherwise in law.

Before beginning the work, the CONTRACTOR shall furnish to the APC satisfactory proof that it has secured, for the period covered under this Agreement, Workers Compensation Insurance for all persons whom it may employ in carrying out the work completed under this Agreement, in accordance with the "Workers Compensation and Insurance Act", Division IV of the Labor Code of the State of California and any acts amendatory thereof. Such insurance shall be maintained in full force and effect during the period covered by this Agreement.

10. STATE AND FEDERAL REQUIREMENTS

Consultant will comply with all Federal, State and Local laws and ordinances as may be applicable to the performance of work under this Agreement.

Non-Discrimination Clause. a.) In the performance of work under this Agreement, APC, CONTRACTOR and its sub-consultants shall not unlawfully discriminate, harass, or allow harassment, against any employee or applicant for employment because of sex, race, color, ancestry, religious creed, national origin, disability (including HIV and AIDS), mental disability, medical condition (cancer), age (over 40), marital status, denial of family and medical care leave and denial of pregnancy disability leave. b.) APC, Consultant and its sub-consultants shall ensure that the evaluation and treatment of their employees and applicants for employment are free from such discrimination and harassment. APC, Consultant and its sub-consultants shall comply with the provisions of the Fair Employment and Housing Act (Government Code, Section 12900 et seq.) and the applicable regulations promulgated thereunder (California Code of Regulations, Title 2, Section 7285.0 et seq.). The applicable regulations of the Fair Employment and Housing Commission implementing Government Code Section 12990 (a-f), set forth in Chapter 5 of Division 4 of Title 2 of the California Code of Regulations are incorporated into this Agreement by reference and made part hereof as if set forth in full. c.) APC, Consultant and its sub-consultants shall each give written notice of their obligations under this clause to labor organizations with which they have a collective bargaining or other labor agreement. d.) APC, Consultant and its sub-consultants will permit access to all records of employment, employment advertisements, application forms, and other pertinent data and records by the State Fair Employment Practices and

Housing Commission or any other agency of the State of California designated by State to investigate compliance with this section.

Disadvantaged Business Enterprise (DBE) Obligation. APC, CONTRACTOR and its subconsultants shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The consultant shall carry out applicable requirements of 49 CFR part 26 in the award and administration of DOT assisted contracts. Failure by the consultant to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the recipient deems appropriate.

Title VI of the Civil Rights Act of 1964. The CONTRACTOR agrees to comply with all the requirements imposed by Title VI of the Civil Rights Act of 1964, California Civil Code section 51(b) and the regulations of the U.S. Department of Transportation issued thereunder in 49 CFR Part 21.

Equal Employment Opportunity. In connection with the performance of this Agreement, the CONTRACTOR shall not discriminate against any employee or applicant for employment because of race, color, age, creed, sex, or national origin. Such action shall include, but not be limited to, employment, upgrading, demotion or transfer, recruitment, or recruitment advertising, layoff or termination, rates of pay or other forms of compensation and selection for training, including apprenticeship.

11. INDEPENDENT CONTRACTOR

Both the APC and CONTRACTOR agree and acknowledge that the relationship between them is that of public entity and independent contractor and shall in no event be considered that of employer/employee. The APC shall compensate CONTRACTOR by payment of the gross amounts due to CONTRACTOR, and CONTRACTOR shall be solely responsible for any federal, state, and local taxes and withholdings that may be applicable.

12. FINANCIAL INTEREST

The CONTRACTOR covenants that it has no interest and shall not acquire any interest, direct or indirect, which would conflict in any manner or degree with the performance of its services hereunder. The CONTRACTOR further covenants that in the performance of this Agreement, no person having any such interest shall be employed or subcontracted.

13. CONTRACT TERMS

The terms of this Agreement shall be for an initial period of five (5) years from October 1, 2014 through September 30, 2019, however, this Agreement may be extended for an additional five (5) one-year periods upon written agreement by the APC and CONTRACTOR.

APC may terminate this Agreement for any reason by giving written notice to CONTRACTOR at least one hundred twenty (120) days in advance of the effective date of such termination.

In the event this Agreement is terminated prior to September 30, 2019, CONTRACTOR shall

be paid on a prorated basis for only that portion of the contract term during which CONTRACTOR provided services pursuant to this Agreement.

14. TIME

Time is of the essence.

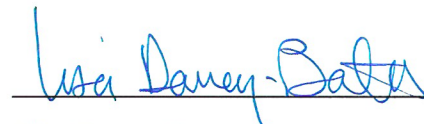
15. EXTENT OF AGREEMENT

This Agreement and all exhibits made a part hereof constitute the entire Agreement between the parties. In case of conflict or inconsistency between this Agreement and any exhibits, this Agreement shall control. This Agreement shall not be modified except by written agreement of both parties.

IN WITNESS WHEREOF, the parties hereto have caused their duly authorized officers to execute this Agreement in duplicate as of the day and year first written above.



Marsha Wharff, Chair
Lake County/City Area Planning Council



Lisa Davey-Bates, Owner
Davey-Bates Consulting

Federal ID No.: 47-1862937

CHAPTER 2: SCOPE OF SERVICES

The following work plan addresses elements of duties to be provided under the Administrative and Fiscal Services contract with the Lake APC. The tasks are broken down by category, and define how Davey-Bates Consulting staff would fulfill the administrative role. Appendix - A provides a cross-reference of bulleted tasks from Pages 8-9 of the Scope of Work in the Request for Proposals which are addressed in this Chapter.

TRANSPORTATION DEVELOPMENT ACT ADMINISTRATION (TDA)

Most regional transportation planning agencies were organized as a result of **TDA**, and the Local Transportation Fund (authorized by TDA) is the primary source of funding available to Lake APC, as the regional transportation planning agency for Lake County. Pursuant to requirements of the TDA, staff of Davey-Bates Consulting will:

- Prepare and distribute Board of Directors meeting notices, agendas, minutes, staff reports and related documents for TDA Administration
- Administer Transportation Development Act funds: Local Transportation Fund and State Transit Assistance (pursuant to Public Utilities Code – Chapter 4, Article 3 and California Code of Regulations Sections 6644, 6646, 6648, 6655, 6659 and 6660)
- Analyze and recommend allocations for the annual Transit Claim (CCR Section 6732, 6752, 6753, 6754 and 6756)
- Prepare analyses, agendas, minutes, staff reports and recommendations to Lake APC Executive Committee (PUC Section 99244)
- Prepare analyses, agendas, minutes and staff reports to the Social Services Transportation Advisory Council (SSTAC) (PUC Section 99238)
- Administer the Unmet Transit Needs process (PUC Section 99401)
- Budget for, obtain services for, participate in, and execute Annual Fiscal Audits (pursuant to CCR Sections 6661, 6662, 6663, 6664, 6667 and 6751) and the Triennial Performance Audit (pursuant to CCR Section 6662.5)
- Process claims for Transportation Development Act activities
- Prepare and submit all legal notices for advertisement
- Ensure citizen participation opportunities through public hearings, outreach and other methods (PUC Code Section 99238.5).

REGIONAL TRANSPORTATION PLANNING AGENCY (RTPA) ADMINISTRATION

Administration has become an increasingly important function of the Lake Area Planning Council due to Senate Bill 45, Senate Bill 375, Assembly Bill 32, the development of Caltrans planning grants and a variety of laws and regulations. State and federal statutes have delegated additional responsibilities to the RTPAs, requiring them to take a more active

role in selecting, programming and administering transportation projects. Staff of Davey-Bates Consulting will:

- Prepare and distribute Board of Directors meeting notices, agendas, minutes, staff reports & related documents for the RTPA
 - Host Board of Directors meeting site (schedule, reserve, set up equipment and public information, connect conference call, arrange video services, pack up and secure space after meeting; arrange all logistics for off-site meetings and tours)
 - Coordinate with Planning Services Contractor regarding agenda items, staff reports, documents, alternative meeting site recommendations, and media requirements for Board presentations
 - Prepare all agenda items for administrative issues to go before the Board, including writing reports and preparing related material
- Prepare, administer and oversee Regional Transportation Planning Overall Work Program (OWP)
 - Coordinate with the Planning Services Contractor and Caltrans to prepare budgets and amend as needed
 - Ensure consistency with Regional Transportation Plan policy
 - Oversee sub-contracting of discretionary transportation planning projects (prepare, execute and monitor consultant professional services agreements in compliance with statutes)
- Administer project implementation for state and federal grant funds
 - Active Transportation Program (ATP) funds
 - Highway Safety Improvement Program (HSIP) funds
- Prepare, submit, and advertise all legal notices
- Submit applications to the Department of Mass Transportation as needed
- Participate in key Transportation Planning activities
 - Lake APC Technical Advisory Committee
 - Technical Advisory Groups for grant-funded studies
 - Project Development Team meetings
 - Consultant selection committees
- Finalize and maintain Policies & Procedures Manual
- Manage file system and records, documents library, and contacts database
- Prepare Regional Housing Needs Allocation (RHNA) Update
 - Prepare housing allocation methodology for Lake APC approval
 - Work with local agencies to develop allocations consistent with state requirements and approved methodology
 - Conduct local appeal process and respond to appeals when necessary
 - Prepare final RHNA Plan for Lake APC approval and submit to Housing and Community Development Department

- Planning, programming, monitoring, and input to the State Transportation Improvement Program (STIP) in coordination with the APC Planning Services contractor
 - Provide local agency assistance in preparing candidate projects for inclusion in the Regional Transportation Improvement Program (RTIP)
 - Conduct application cycle and development
 - Prepare documents for Lake APC approval and CTC adoption
 - Monitor project progress and delivery, prepare extensions or amendments as needed
 - Monitor and participate in statewide efforts to develop STIP guidelines and policies
- Conduct Pavement Management Program (PMP) Update
 - Prepare Request for Proposals, conduct consultant selection process and prepare contract
 - Coordinate with local agencies and consultant to complete Pavement Condition Index (PCI) surveys, database update and analysis, decision-tree updates and budget analysis scenarios, prepare final reports and presentations, and conduct training for local agencies

FISCAL SERVICES

The **Fiscal Services** function is a major and complex role that supports all other functions of the agency. Staff of Davey-Bates Consulting will:

- Prepare and administer the Lake APC annual budget in coordination with the Planning Services Contractor , including analysis and staff reports to Board of Directors
- Process Agreements as necessary to administer and distribute funds made available through the Regional Surface Transportation Program (STP Section 182.6 d (1)) or successor programs passed by Congress
- Execute federal and state reimbursement agreements with Caltrans
- Administer Grant Programs
 - Caltrans Planning grants
 - State Planning & Research grants
 - Others: Highway Safety Improvement Program (HSIP), Federal Highways Administration (FHWA), Federal Transit Administration (FTA), Housing and Community Development (HCD) Department
- Prepare and distribute Board meeting notices, agendas, minutes, staff reports & related documents for the RTPA
- Administer funds that may be available to the Planning Services Contractor to complete activities in the annual Regional Transportation Planning Overall Work

Program (prepare and submit invoices for payment, reconcile fund accounts quarterly, prepare financial statements for annual audits)

- Rural Planning Assistance (RPA) funds made available through the State budget
- Local Transportation Funds (LTF) that are derived from a portion of the sales tax collected in Lake County
- Planning, Programming, & Monitoring (PPM) funds that are programmed in the State Transportation Improvement Program (STIP) that represent up to 5% of Lake APC's regional share
- Other local, state, or federal funds, such as Caltrans planning grants that may be programmed for Planning Services Contractor participation in the Regional Transportation Planning Work Program

INTERAGENCY RELATIONSHIPS

Effective leadership and policy implementation requires widespread and ongoing **Interagency Relationships** with our constituent members, local groups, regional associations, and state and federal agencies. As the administrative and fiscal services consultant, Davey-Bates Consulting will:

- Maintain positive relationships with Joint Powers Authority members
 - Engage in community relations and publicity as directed by the Board (maintain website, issue press releases, and other communications as appropriate)
 - Provide local agency support as needed
- Maintain positive intra-regional relationships
 - Community Advisory Committees
 - Senior Centers
 - Non-Profit Agencies
- Maintain positive inter-regional relationships
 - Provide staffing to interregional associations and their committees such as: Rural Counties Task Force (RCTF), North State Super Region (NSSR) and California RTPA Committee
 - Ensure continued membership and execution of duties with the California Association of Councils of Governments (CalCOG)
- Coordinate with Caltrans District 1 and Headquarters staff
 - Develop Overall Work Program, prepare and administer claims and quarterly reports
 - Conduct procurement process for and Administer Caltrans grants
 - Participate in quarterly RTPA and Caltrans-District 1 meetings
 - Participate in the Caltrans-District 1 Annual Management and Native American Leadership Meeting
 - Serve as the liaison to Caltrans and local agencies

- Maintain positive state, federal, and tribal relationships
 - Coordinate and consult with Native American Tribes
 - Engage with state and federal elected officials
 - Provide staffing to ongoing and ad hoc state and federal committees as necessary

LOCAL ASSISTANCE

As staff of DBC, **Local Assistance** will be provided to local agencies in coordination with the planning contractor in developing funded projects derived from the planning process for local prioritized projects. Staff of DBC will:

- Provide local agency assistance in the project development for transportation projects programmed in the State Transportation Improvement Program
- Provide local agency assistance in preparing candidate projects for funding through the Active Transportation Program
- Provide local agency assistance in preparing candidate projects for funding through the Highway Safety Improvement Program (HSIP) and other grant programs that may be of local priority
- Provide local assistance, as appropriate, for high priority local projects.

SERVICE AUTHORITY FOR FREEWAY EMERGENCIES (SAFE) ADMINISTRATION AND MANAGEMENT

The SAFE Program was established in June 1993 through a Joint Powers Agreement (JPA) to provide planning and implement the Call Box Program in Lake County. The SAFE Program has historically been administered as part of the responsibilities of the transportation planning consultant since its inception. Ms. Davey-Bates and Ms. Pedrotti will continue to do the following:

- Prepare and distribute Board meeting notices, agendas, minutes, staff reports and related documents for Lake County SAFE
- Prepare Five-Year Strategic and Financial Plan for Lake County SAFE Board adoption
- Administer the Lake County SAFE Five-Year Strategic and Financial Plan
 - Prepare claims for payment with SAFE funding
 - Participate in CalSAFE activities as needed
 - Review operations of existing system
 - Arrange maintenance of existing system
 - Apply for encroachment permits and coordinate new installations of Motorist Aid Call Boxes with Caltrans, California Highway Patrol and contractors
 - Contract for new call box installations
 - Coordinate with Planning Services Contractor to conduct planning work for future installations

COORDINATION WITH LAKE APC PLANNING SERVICES CONSULTANT

A vital component of providing efficient and effective Administrative and Fiscal Services to Lake APC will be the seamless relationship the administrative contractor fosters with planning staff. If given the opportunity, staff of Davey-Bates Consulting will utilize their expertise in maintaining a cohesive and transparent flow of communication with Lake APC planning staff. Such communication will be most important when preparing and administering budgets, conducting Lake APC Board meetings (such as preparing agenda packets and responding to public inquiries, etc.), Social Services Transportation Advisory Council (SSTAC) meetings, developing and managing overall work programs, State Transportation Improvement Programs, Regional Transportation Improvement Programs, Regional Transportation Plans and other transportation planning documents, submitting and administering state and federal grant programs, and implementing the SAFE Program. Day-to-day coordination on routine items is also important, and Davey-Bates Consulting staff will ensure that flow in communication is maintained.

CHAPTER 3: IMPLEMENTATION PLAN & SCHEDULE

This chapter provides an implementation schedule of elements discussed in the Scope of Work of the previous chapter. The five-year implementation schedule is broken down by fiscal year and provides a timeline of tasks to be completed once the contract is secured between Davey-Bates Consulting and Lake Area Planning Council. Because staff of DBC is already highly experienced, the transition will be trouble-free and DBC staff will continue to provide the same level of administrative services that we did as staff of Dow & Associates in the previous contract.

TRANSITION

Per the Schedule of Activities provided in Lake APC's Administrative and Fiscal Services Request for Proposals (RFP), it is anticipated that the Lake APC Board of Directors will approve the recommendation for the new consultant in August 2014, and the contract start date will be on October 1, 2014.

If DBC is chosen as the successful consultant, Lisa Davey-Bates will secure the office space and initiate the necessary start-up responsibilities beginning September 1, 2014, so staff will be relocated and prepared to be fully operational as of October 1, 2014. The office of DBC will be at the Victory Theatre, located in downtown Ukiah, California.

IMPLEMENTATION SCHEDULE

The following *Table - A* provides a summary of the implementation schedule of the major tasks to be completed by DBC in the five-year period of the contractual agreement with the Lake Area Planning Council. It is important to note that the implementation schedule is certainly subject to change, and several of the tasks rely heavily on schedules that are generated by the legislature, Caltrans, Lake APC Board of Directors and others.

LAKE APC ADMINISTRATIVE AND FISCAL SERVICES IMPLEMENTATION SCHEDULE

Table - A

Tasks	2014/15	2015/16	2016/17	2017/18	2018/19
Transition from current combined contractual agreement into separate planning and administrative contracts					
Coordinate with Planning Services Contractor (ongoing)					
Lake Transit Authority administration including preparation of funding agreements, involvement with Consolidated Transportation Services Agency (CTSA), coordination and management of consultant contracts, LTA meeting preparation, distribution of meeting packets, attendance and follow-up (ongoing)					
Lake SAFE administration including preparation and implementation of the Lake County SAFE Five-Year Strategic & Financial Plan, meeting preparation, distribution of meeting packets, attendance and follow-up, preparation coordination and management of consultant contracts, coordination with consultants for call box maintenance, repairs & installations (ongoing)					
Lake APC Board of Directors meeting prep, coordinate with planning staff, staff reports, minutes, presentations (10 times per year)					
Lake APC Technical Advisory Committee (TAC) staff reports, meeting attendance and follow-up (as needed)					
Social Services Transportation Advisory Council (SSTAC) agendas, minutes, staff reports, attendance and follow-up (3-4 times yearly)					
Fiscal Audit (annual) and Performance Audit (triennial) participation and implementation					
Overall Work Program (OWP) and other related programs budget preparation and management (ongoing)					
Overall Work Program preparation, management and amendments, prepare agreements, review and process claims, prepare quarterly reports and Request for Reimbursements (ongoing)					
State and federal grant application preparation and management of projects (annually)					
Active Transportation Program grant application preparation and administration (as needed)					
Transportation Development Act (TDA) administration including 2% Bike & Ped Program and Unmet Needs Process (ongoing)					
Rural Planning Assistance (RPA) administration including agreement preparation, review and process claims, prepare quarterly reports and Request for Reimbursements (ongoing)					
State Transportation Improvement Program (STIP) planning, programming and monitoring coordination with APC planning contractor (ongoing)					
Regional Transportation Improvement Program (RTIP) application cycle & development (biennially)					
Regional Transportation Improvement Program (RTIP) amendments and extension request preparation and respond to STIP Guidelines (as needed)					
Regional Housing Needs Assessment (RHNA) Update (5 years)					
Pavement Management Program (PMP) Update (3 years)					
Monitor and evaluate ongoing legislation (ongoing)					
Native American Tribal coordination and consultation (ongoing)					
CalSAFE meeting attendance (annually)					
California Transportation Commission (CTC) meeting attendance (monthly)					
California Association of Councils of Governments (CalCOG) meeting attendance (bi-monthly)					
Rural Counties Task Force (RCTF) meeting attendance (bi-monthly)					
Regional Transportation Planning Agencies committee meeting attendance (monthly)					
Interagency coordination (ongoing)					
Local agency coordination and support (ongoing)					
Project Development Team (PDT) meeting participation (as needed)					
Caltrans District 1 Regional Transportation Planning Agencies (RTPAs) meeting attendance (quarterly)					
GIS Maps for transportation planning projects and grant applications (as needed)					
Maintain Agency Website (ongoing)					

CHAPTER 4: COMPANY BACKGROUND & EXPERIENCE

This chapter provides an overview of Davey-Bates Consulting's qualifications, as well as experience of key personnel that will be assigned to the project. An organization chart and resumes are also provided in this section for each of the personnel detailing their experience and educational background.

Lisa Davey-Bates, owner of Davey-Bates Consulting, was hired by Dow & Associates in 1999 as an Assistant Planner when Mr. Dow realized he needed to expand his staff after the passage of SB 45 (Kopp). The legislation gave regional transportation planning agencies additional responsibilities, requiring them to take a more active role in selecting and programming transportation projects.

In 2001, Ms. Davey-Bates received her Bachelor of Arts degree from the University of San Francisco. She continued her employment with Dow & Associates, and committed her professional career to the field of transportation planning. She became more engaged with the statewide transportation partners by attending meetings of the California Transportation Commission (CTC), California Regional Transportation Planning Agencies' Committee (RTPA), North State Super Region (NSSR), and the Rural Counties Task Force (RCTF). She served as Vice-Chair and Chair to the RCTF.

In July 2006, Dow & Associates was awarded a contract to provide administration and planning services for the Lake Area Planning Council (APC), the Regional Transportation Planning Agency in Lake County. Mr. Dow assigned Ms. Davey-Bates to the role of Executive Director of the agency. She has also acted as the Executive Director for the transit agency, Lake Transit Authority, and the Lake SAFE Call Box Program in her administrative role for the Lake APC. Ms. Davey-Bates continues to dedicate a portion of her time to transportation planning. She will continue to work for Dow & Associates until September 30th, at which time she will concentrate her efforts on Davey-Bates Consulting.

Davey-Bates Consulting is a new firm, but staff has gained years of experience by working at Dow & Associates, providing planning and administrative services to the Mendocino Council of Governments and Lake Area Planning Council, the regional transportation planning agencies (RTPAs) in Mendocino and Lake Counties.

TEAM INTRODUCTION

Davey-Bates Consulting will provide a professional team who has worked collaboratively for a combination of over 40 years. The team has extensive knowledge of the region, and has an excellent track record of coordinating and collaborating with our local partners in securing grant and other funding resources to complete a broad range of projects for all modes of transportation. DBC, as the Lake APC staff designated to administer local, state and federal transportation funding, takes pride in the positive track record we've maintained of the required fiscal and performance audits.

Lisa Davey-Bates, a native to Mendocino County, has worked in the field of transportation planning for 15 years. As the principal of the Administrative and Fiscal Services contract, Ms. Davey-Bates will continue to serve as the Executive Director to the Lake APC, Lake Transit Authority, and Lake SAFE Program and provide coordination with the planning services staff. Ms. Davey-Bates has a long-standing relationship with our transportation partners and will act as a liaison on behalf of Lake APC staff to the California Transportation Commission, Caltrans Headquarters and District 1, rural regional transportation planning agencies throughout the state, and local agency staff in Lake County.

Nephele Barrett, Program Manager, has worked as a transportation planner for 14 years. She has a strong history of successfully managing statutorily required activities such as the State Transportation Improvement Program, Regional Transportation Improvement Program, Regional Housing Needs Allocation Update, and other planning projects such as the Regional Transportation Plan, Pavement Management Program, and a multitude of grant projects. She has a broad range of experience in public outreach strategies, facilitation and collaboration in transportation planning. Ms. Barrett has a respected working relationship with local agency staff, Caltrans and staff of the California Transportation Commission.

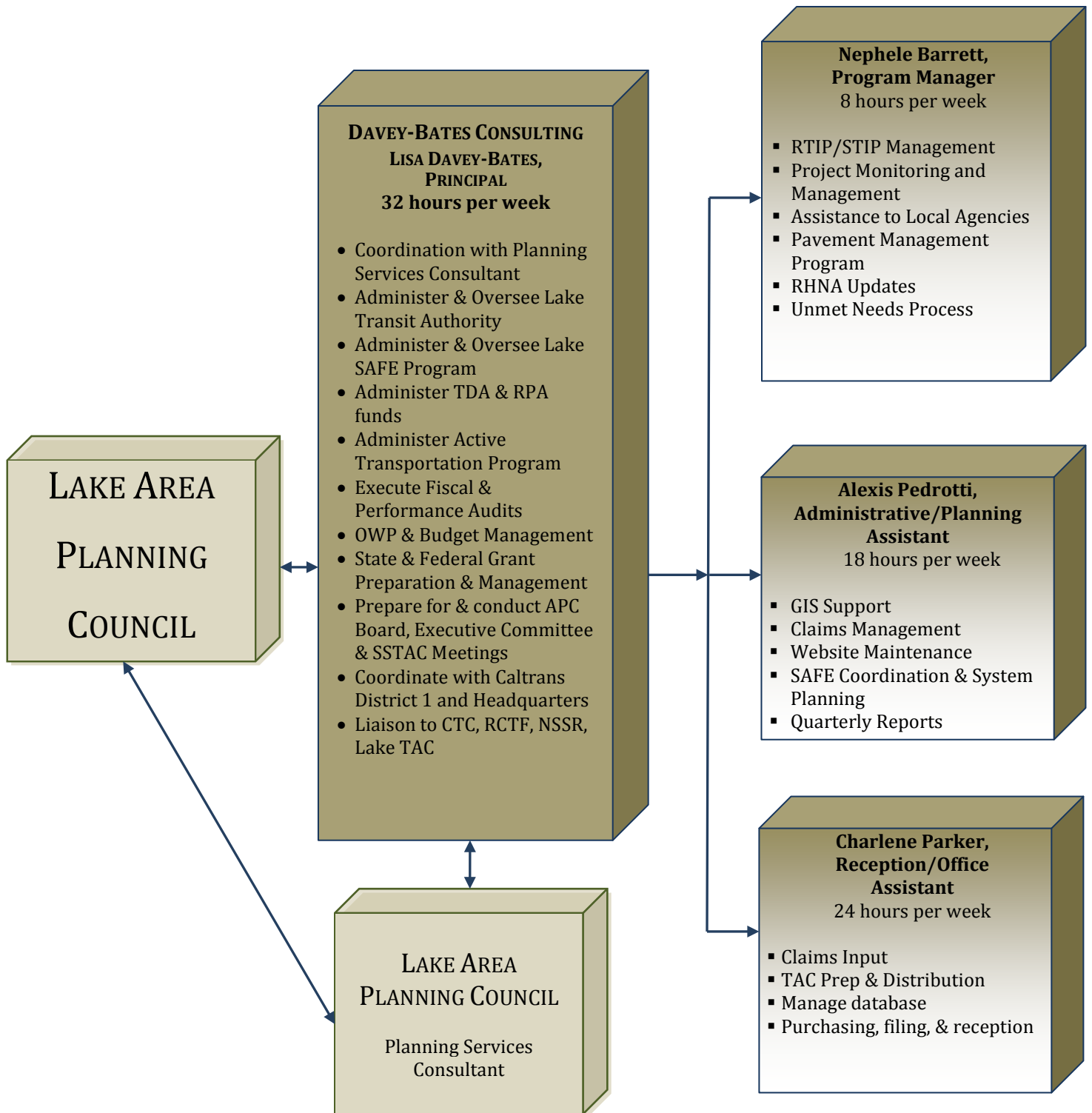
Alexis Pedrotti, Administrative Assistant, has worked with the staff of Dow & Associates for nine years and plays a key role in processing planning claims, preparing GIS maps for transportation planning documents and studies, and has managed the transportation planning website. This skill will be beneficial when coordinating with the Lake APC planning staff in uploading agendas/minutes, planning projects and studies and other pertinent information relating to Lake APC planning.

Charlene Parker, Receptionist and Office Assistant, is the friendly voice you'll be greeted with when calling Davey-Bates Consulting. Her friendly demeanor is one of the many positive qualities she possesses. She has an incredible ability to multi-task while answering calls, preparing and mailing agenda packets, ordering supplies, managing the database, and inputting claims. Ms. Parker's overall organization skills provide a valuable asset to the Davey-Bates Consulting team.

The following organization chart and resumes provide an in depth description of experience and qualifications of DBC staff who are prepared to perform Lake APC Administrative and Fiscal Services.

TEAM ORGANIZATION

The following chart illustrates the proposed organization of our team.



LISA DAVEY-BATES

OWNER/PRINCIPAL

EDUCATION

Bachelor of Arts, Public Administration
University of San Francisco

PROFESSIONAL DEVELOPMENT

- Transportation Planning Academy, CA Dept. of Transportation
- Funding & Programming Transportation Projects in California, ITS Berkeley
- Fundamentals of Traffic Engineering, ITS Berkeley
- TransCad Travel Demand Forecasting Training (Level 1 & 2) Parsons Brinckerhoff
- Managing Transportation & Land Use Interactions, ITS Berkeley
- Transit/Paratransit Management Certificate Program, University of Pacific
- Rural Counties Blueprint Training, UC Davis Information Center for the Environment
- Lake County AgVenture Program, California Women for Agriculture
- ArcView GIS Training (Level 1 & 2), Environmental Systems Research Institute, Inc. (ESRI)
- Active Transportation Program Training, CA Dept. of Transportation
- Rural Counties Task Force, Vice-Chair and Chair
- South Ukiah Rotary – Paul Harris Fellow

QUALIFICATIONS

Lisa Davey-Bates began working for Dow & Associates 15 years ago as a transportation planner. She continued in that position until July 2006 when Mr. Dow was awarded the contract to provide planning and administrative services for the Lake County/City Area Planning Council (APC), and designated Ms. Davey-Bates as the Executive Director. She will continue in that position until approximately September 2014, at which time she intends to dedicate her time to her new business providing planning services to LAKE APC, and administrative services to the Lake APC.

Her combination of experience in transportation planning and administration has given her the well-rounded breadth of experience needed to be successful as the owner of Davey-Bates Consulting. Ms. Davey-Bates has established positive working relationships in Mendocino and Lake Counties with the local agencies, transit agencies, our regional partners throughout the state, and Caltrans. Ms. Davey-Bates grew up in Ukiah. She & her husband have owned Redwood Empire RVs for eight years, which has given her the knowledge needed to own and operate a successful business.



RELEVANT EXPERIENCE

- Executive Director, Lake APC (Regional Transportation Planning Agency), Lake Transit Authority & Lake SAFE
- Liaison to Regional, State & Federal transportation associations & committees
- Knowledge & Administration of Requirements of the Transportation Development Act (TDA)
- Implementation of state and federal Legislative Requirements (e.g. MAP-21, SB 45, SB 375/AB 32, etc.)
- Development/management overall work programs, claims preparation & quarterly reports
- Preparation of request for proposals & manage grant programs and consultant contracts
- Preparation of various planning documents
- Preparation of agendas, minutes, staff reports for Regional Transportation Planning Agency (RTPA) Board, TAC, SSTAC, Executive Committee meetings
- Attendance at public meetings, giving presentations and reports to member jurisdictions, committees, elected officials and Board members
- Effective communications when dealing with the public and staff
- Development/Maintenance RTPA website

NEPHELE BARRETT

PROGRAM MANAGER

EDUCATION

Associate of Arts, General Studies, Mendocino College

PROFESSIONAL DEVELOPMENT

- Leadership Mendocino, Class IV
- Federal Aid Training Series, UC Berkeley Institute of Transp.
- Highway Program Financing, FHA, National Highway Institute
- Environmental Analysis for Local Transportation Projects, UC Berkeley Institute of Transportation Studies
- Pavement Management Program Training, Metropolitan Transportation Commission
- Transportation Planning Academy, California Department of Transportation
- Coordinated Planning for JARC, New Freedom & 5310 Programs, CalACT
- Rural Counties Blueprint Training, UC Davis Information Center for the Environment
- Active Transportation Program Training, California Department of Transportation
- Managing Transportation & Land Use Interactions, UC Berkeley Institute of Transportation Studies



QUALIFICATIONS

Nephele Barrett has worked as a planner for the Mendocino Council of Governments and the Lake Area Planning Council since 2000 as part of Dow & Associates. Prior to Dow & Associates, she held positions with Mendocino County in the Department of Planning and Building Services and the Department of Transportation.

In her time with Dow & Associates, Ms. Barrett has gained an understanding of a wide range of transportation planning issues. She has been a presenter at the local, regional, and state level on a variety of topics, including transportation sales tax efforts. Ms. Barrett has been responsible for a number of different tasks. She is the State Transportation Improvement Program Coordinator for both Lake and Mendocino Counties. She has been the lead in charge of several long term, comprehensive planning efforts, including the Regional Transportation Plan, which is the primary transportation planning document for the region. In recent years, she has spearheaded the Regional Blueprint Planning efforts in Mendocino County. Ms. Barrett's knowledge and experience in community and stakeholder engagement, consensus building, and group facilitation have been valuable through these efforts. She has spent a great deal of time working with local and state staff and elected officials, and has developed solid working relationships.

RELEVANT EXPERIENCE

- STIP Coordinator for both Lake and Mendocino County
- Transportation Enhancement Program Coordinator for both Lake and Mendocino County
- Grant Management
- Project Management & Monitoring
- Regional Transportation Improvement Program preparation
- Assistance to local agencies
- Local agency liaison to Caltrans
- Regional Transportation Plan development
- Public Participation Plan development
- Regional Bikeway Plan development
- Pavement Management Program Coordinator
- FTA Section 5310 Grant Program Coordinator
- Regional Housing Needs Assessment (RHNA)
- Regional Blueprint Planning
- Human Services Coordinated Transportation Plan development
- Vehicle Impact Fee Studies
- Federal Transportation Bill Implementation – SAFETEA-LU & MAP21
- Polling & information development related to transportation sales tax

ALEXIS J. PEDROTTI

ADMINISTRATIVE ASSISTANT

PROFESSIONAL TRAINING

- Transportation Funding in California, Dept. of Transportation
- Transportation Planning Academy, Dept. of Transportation
- MTC Streetsaver Pavement Management Program, Nichols
- TransCad Travel Demand Forecasting Model, Parsons Brinckerhoff
- Trans Modeler, Micro Simulation Project, Caliper Corp.
- Rural Blueprint Training - UPlan Model, UC Davis
- Website Design & Development, Mendocino College
- Introduction to QuickBooks, Fred Pryor
- Introduction into ArcGIS I
Environmental Systems Research Institute, Inc. (ESRI)
- ArcGIS Desktop II: Tools and Functionality, Environmental Systems Research Institute, Inc. (ESRI)



QUALIFICATIONS

Ms. Pedrotti began her career for Mendocino Council of Governments and the Lake Area Planning Council in September 2005. She has experience working for both agencies as part of the Dow & Associates team. Prior to Dow & Associates Ms. Pedrotti worked at North Coast Opportunities under the Community Service Programs for Lake and Mendocino Counties.

Currently, Ms. Pedrotti's professional capabilities expand widely across the agencies through administrative and planning support. Ms. Pedrotti assists in the development of the annual Overall Work Program, Lake APC's budget, completes quarterly status reports and all corresponding reports due to Caltrans, maintains and updates the Lake APC Website, and performs Geographic Information System (GIS) duties to develop maps and data sets as needed.

Administratively, Ms. Pedrotti manages claims and processes claims according to State requirements. She tracks, develops and reconciles several spreadsheets to assist in the tracking of consultant contracts and grant funding requirements. Her duties also extend to assisting with Financial and Triennial Performance Audits, pursuant to the Transportation Development Act (TDA).

Ms. Pedrotti also works for the Service Authority for Freeway Emergencies for both Lake and Mendocino counties, where she monitors and helps to implement the Call Box programs.

RELEVANT EXPERIENCE

- Claims Processing and Management
- Fiscal tracking, balancing and reconciling
- Fiscal and Triennial Performance Audit assistance
- Overall Work Program development and amendments
- Project and Grant Management
- Caltrans Quarterly Status Reporting
- TDA Budgetary Requirements; Budgets Resolutions, Allocation Instructions
- Agenda Packet / Minutes / Staff Reports
- Website Development and Management
- ArcGIS Mapping and Dataset Development
- Development and Implementation of the Strategic and Financial 5-Year Plans for the Lake and Mendocino S.A.F.E.
- Monitoring the S.A.F.E. Maintenance Call Box System
- Coordination and collaboration with Local Agencies, Caltrans, and Consultant/Contractor Staff

CHARLENE PARKER

OFFICE ASSISTANT

PROFESSIONAL TRAINING

- Outstanding Receptionist, Fred Pryor
- Intro to Word and Excel, R.O.P

QUALIFICATIONS

Ms. Parker began her career for Dow & Associates in April 2011 as the Receptionist/Administrative Assistant. Ms. Parker's duties include answering phones for three different organizations, compiling and distributing packets for Mendocino Council of Governments & Lake County/City Area Planning Council. Ms. Parker also performs accounts payable duties for Dow & Associates, arranges facilities for a variety of meetings and trainings, and purchases and inventories office supplies and needs. Ms. Parker also organizes and maintains file systems for each organization, and manages the libraries.

Ms. Parker has excellent telephone and verbal communication skills. She has the ability to work effectively under pressure and tight time constraints with attention to detail. Ms. Parker also has the ability to follow instructions well and make decisions with minimal supervision.

Prior to Dow & Associates Ms. Parker worked for Staples as the Store Manager. Her duties also included scheduling, bookkeeping, and customer service. Ms. Parker was recognized for assuming additional responsibilities, with the ability to look at challenges as new opportunities. Ms. Parker maintained all end of day procedures and reports with efficiency and accuracy.



RELEVANT EXPERIENCE

- Receptionist / Administrative Office duties
- Experienced in Microsoft Office Professional, Adobe Acrobat, QuickBooks Professional, and File Maker Pro
- Copying, collating, distributing, scanning and binding of board packets and documents, correspondence and requests for reimbursement to Caltrans in compliance with state and federal regulations
- Scheduling of facilities for meetings and trainings
- Creating and maintaining distribution lists in File Maker Pro and Microsoft Outlook for Request for Proposals, contracts, and general agency correspondence
- Experienced in filing; creating and maintaining files for three agencies
- Coordinating health & dental insurance Coverage
- Maintaining current records for Dow & Associates payables
- Lake APC claims: copying and scanning file (paper & pdf)

CHAPTER 5: COST PLAN & NARRATIVE

Separating the Lake APC administrative and planning contracts will, no doubt, result in a loss of previous efficiencies that were achieved with one single contract with Dow & Associates. Historically, expenses were reduced due to the fact that staff of Dow & Associates had the flexibility to balance its planning and administrative activities between Lake and Mendocino counties. Naturally, there were other savings with overhead expenses such as office space, vehicle expenses, office equipment and more. Those efficiencies will now be lost since there will be a clear delineation between the planning and administrative contracts for Lake Area Planning Council.

It is expected that there will still be a certain amount of overlap between DBC and the planning services consultant. DBC will make it a priority to communicate and meet regularly with the planning consultant to ensure the transition is seamless, and ongoing coordination is achieved, so our transportation partners do not feel an inconvenience with the contractual change. Achieving the feeling of “business as usual” will be the goal of DBC.

In previous years, staff had the ability to perform tasks that may be considered an administrative activity under the annual Overall Work Program. This was beneficial in several ways, but most importantly that it kept the administrative contract with Dow & Associates at an artificially low level, and allowed flexibility with planning staff. The new contractual arrangement provides the opportunity to adjust the administrative and planning contracts so that they represent a more realistic outlook of services to be provided by Davey-Bates Consulting and the planning consultant.

Historically, the Executive Director was funded three days per week (60%) through the Dow & Associates administration contract. The Executive Director also administered Lake Transit Authority and Lake SAFE Program, which required an additional 10% of her time. The remainder of her duties was completed under the Overall Work Program. Administrative assistance was provided at only one day per week, and clerical services were funded at only 10 hours per week.

This proposal suggests the Executive Director continue to provide administrative and fiscal services to the Lake APC, Lake Transit Authority and Lake SAFE Program, and be funded similarly to previous contracts at four days per week. The position will no longer be partially funded through the Overall Work Program. The Program Manager position, which was previously funded entirely through the Overall Work Program, will be funded one day a week through administration to complete administrative duties related to the State Transportation Improvement Program (STIP) and Regional Transportation Improvement Program (RTIP), Regional Housing Needs Assessment (RHNA) Update and Pavement Management Program (PMP) and Unmet Needs Process. The overall DBC staff need will be reduced in the Overall Work Program by removing a portion of the Executive Director and Program Manager positions. Administrative assistance will be increased slightly to two days per week, and clerical assistance will be increased from 10 to 30 hours per week.

LAKE APC FUNDING SOURCES

Local Transportation Funding (LTF) which is available through the Transportation Development Act (TDA), Rural Planning Assistance (RPA), Planning Programming & Monitoring (PPM), the Regional Surface Transportation Program (RSTP) and a variety of state and federal grant programs are administered through the Lake APC.

The first priority for funding under the Transportation Development Act (TDA) is to fund administration of the transportation planning agency prior to funding planning, bicycle and pedestrian projects, or other claimants. Lake APC administration has been funded solely by TDA funding. Under the previous contract, however, staff of Dow & Associates had more flexibility to perform administration and planning duties across county lines providing reimbursable planning work for the both counties, thereby reducing the overall impact on TDA funding.

Claimants such as Lake Transit would be adversely impacted if administrative services continue to be funded solely by TDA. This would be difficult to justify since the duties of Lake APC have grown to be much more extensive than local administration of the Transportation Development Act. That being the case, the question may be whether or not to fully fund administration with TDA since the administrative staff not only manages day to day operations of the agency but also interacts with the public, Caltrans, the California Transportation Commission, elected officials, staff of local agencies, and a number of state and federal agencies.

The majority of the funds do have limitations as to how they can be expended, and are discussed below:

LOCAL TRANSPORTATION FUNDS (LTF)

Pursuant to PUC Section 99233, the LTF is allocated in a specific priority order: (1) claims for administration, (2) planning and programming, (3) pedestrian and bicycle (2%) projects, (4) transit and (5) Consolidated Transportation Service Agency (CTSA) activities. Prior to making any allocation not directly related to public transportation services, specialized transportation services, or facilities provided for the exclusive use of pedestrian and bicycles the RTPA must conduct an annual Unmet Needs Process. If it is determined there are no unmet needs that are “reasonable to meet” Lake APC may allocate funds for local streets and roads. There are still many transit needs therefore no funding is allocated for streets and roads purposes. However Lake APC has annually allocated up to \$100,000 to the Overall Work Program, approximately \$25,000 for bike and pedestrian purposes, and \$55,000 to the CTSA.

RURAL PLANNING ASSISTANCE (RPA)

RPA is state transportation planning funding included in the State Budget line item that is allocated annually by the Office of Regional Interagency Planning through a formula distribution to the rural, non-metropolitan planning organizations (MPOs) per PUC 99311.1. It is provided on a reimbursement basis, after costs are incurred and paid for

using local funds. A maximum of 25% of these funds can be carried over into the following year's Overall Work Program. In Fiscal Year 2014/15 the funding level of RPA funding to Lake APC was increased from \$275,000 to \$294,000. These funds may only be used for planning purposes in the Overall Work Program.

PLANNING, PROGRAMMING, AND MONITORING (PPM)

The passage of Senate Bill 45, among many other things, authorized each rural RTPA to allocate up to 5% of its regional share of STIP funding for planning, programming and monitoring activities. These funds are allocated by the California Transportation Commission and administered by the Lake APC. Lake County's apportionment in FY 2013/14 was \$91,000. Although this fluctuates to some extent, it is expected to be a continuous source of funding for the next several years. PPM funding is to be utilized for development and management of the County's RTIP and involvement with STIP actions. Those tasks in addition to others will be completed under the administrative contract in coordination with the planning consultant as a PPM activity.

TDA funding has previously been used to fund the administration contract. DBC staff is suggesting a portion of the PPM funds could be used to fund the administrative and fiscal services contract to reduce the overall impact on the transit agency.

REGIONAL SURFACE TRANSPORTATION PROGRAM (RSTP)

In California, RSTP funds are distributed based on a federal formula but are optionally exchanged for state funds in rural areas to ease restrictions and provide for greater efficiency. The amount available is dependent on federal law. With the current federal transportation bill due to expire this fall, the long term is as yet unknown, however there is no reason to expect that this funding source will no longer be available. The allocation amount in 2014/15 is \$560,042. Historically, 100% of these funds have been passed through the Lake APC to the County and two cities on a population-based formula.

Rural RTPAs often take a portion of the funds "off the top" prior to distributing funding to local agencies. For example, Mendocino Council of Governments (MCOG) created a capital fund from RSTP revenues known as the Partnership Funding Program for regional safety, operational and capacity-increasing projects that stimulate partnerships. An "off the top" of \$100,000 is taken from each annual regional apportionment before distribution to the jurisdictions. MCOG also created a Regional Project Manager position which is funded by RSTP funding. MCOG then allocates 60% of the remaining annual regional apportionment (divided equally among the five MCOG member jurisdictions) as a base amount, and 40% is divided by road miles percentage for each jurisdiction.

Lake APC may want to discuss the option of using a portion of the RSTP to fund administrative activities since it is a flexible funding source. This would unfortunately impact the annual allocation to local agencies, but reduce the impact to the transit agency.

STATE AND FEDERAL GRANT FUNDING

As discussed in the RTPA Section of the Scope of Work, staff of Lake APC has worked closely with the Technical Advisory Committee, Lake APC Board of Directors, Lake Transit Authority, senior centers and others to identify and pursue grant funding for various transportation projects in Lake County. Local match funding of 10-20% is typically required, however grant funding provides an excellent opportunity to make good use of local funding for Lake APC. Staff will continue to work with the above-mentioned agencies and the planning services contractor to prepare and manage federal and state grants.

LAKE APC COST PLAN SUMMARY

There will be an inevitable financial hardship that will ultimately impact the transit agency and/or local agencies by separating the planning and administrative contracts. DBC understands this impact, and has done its best to minimize cost increases within the cost plan of this proposal. Bear in mind, however, due to the unavoidable increases of expenses such as additional office space, health insurance and travel expenses, an increase to the administrative and planning proposals is imminent.

Davey-Bates Consulting has provided a base proposal which includes a “lump sum bid” and includes all expenses necessary to conduct administrative services for Lake APC. To clarify, costs include professional administrative services, clerical services, office space, utilities, reproduction of materials, hotels, meals and mileage related to business travel, telephone and internet services, furniture and equipment, postage and delivery, publications, public service announcements and legal notices, office supplies, trainings and conferences, health insurance, sick, vacation and retirement benefits, contractor insurance (liability and disability) and all other operating expenses. Costs for legal services, environmental reviews, audits, and other insurances beyond that required of the contractor shall be funded separately by Lake APC.

The office of Davey-Bates Consulting will be open from 8:00 a.m. – 5:00 p.m., Monday through Friday. The office will be closed on the eleven standard holidays each year: New Year’s Day, Martin Luther King Jr. Day, President’s Day, Memorial Day, Independence Day, Labor Day, Columbus Day, Veterans Day, Thanksgiving Day and the following day, and Christmas.

The economy has improved, but fears about inflation are still an area of concern. If inflation soars over the next five-year period, travel expenses alone could severely impact the company since the team travels thousands of miles each year and is often required to fly and stay overnight for many of the state level meetings.

Health coverage is the biggest unknown factor in developing the cost plan. Health insurance is provided to all staff. A considerable amount of time has been spent in researching the Affordable Care Act (ACA) to determine how it may affect health insurance rates. Ms. Davey-Bates recently met with the insurance agent for Dow & Associates to discuss health care options. She was informed that rates will rise considerably in the

foreseeable future. In fact, the most recent projections anticipate an annual increase to rates of 11.5%.

Administrative and Fiscal Services shall be reimbursed on a monthly basis, based on the rates identified below in *Table – B*. An annual Cost of Living Adjustment (COLA) will be applied to the base proposal for Lake APC administration and the Lake SAFE. DBC will adjust the COLA based on the California Consumer Price Index (All Urban Consumers, California Department of Industrial Relations, Division of Labor Statistics and Research). The five-year cost plan provided in this proposal shall be valid for at least ninety days following the proposal submission deadline. If DBC enters into a contract, the costs shall become fixed for the term of the contract.

**LAKE AREA PLANNING COUNCIL
ADMINISTRATIVE AND FISCAL SERVICES
Table - B**

Position	Hours per Week	2014/15		2015/16		2016/17		2017/18		2018/19	
		Hourly Rate	Annual Cost	Hourly Rate	Annual Cost	Hourly Rate	Annual Cost	Hourly Rate	Annual Cost	Hourly Rate	Annual Cost
Lisa Davey-Bates, Principal	30	\$109.70	\$146,212	\$110.25	\$146,848	\$110.78	\$147,558	\$111.37	\$148,349	\$112.04	\$149,232
Nephele Barrett, Program Manager	8	\$77.49	\$27,865	\$77.93	\$28,024	\$78.42	\$28,201	\$78.97	\$28,399	\$79.59	\$28,619
Alexis Pedrotti, Admin/Plng Assist.	16	\$54.36	\$38,678	\$54.77	\$38,968	\$55.22	\$39,290	\$55.73	\$39,649	\$56.29	\$40,050
Charlene Parker, Office Assistant	24	\$30.98	\$34,207	\$31.45	\$34,716	\$31.96	\$35,284	\$32.53	\$35,917	\$33.17	\$36,623
Yearly Total		*	\$246,962		\$248,556		\$250,333		\$252,314		\$254,524

* Assuming the Lake APC contract will become effective on October 1, 2014; the base proposal shown in FY 2014/15 will be reduced to \$185,222, which is 75% of the base year total.

Lake APC contracted with Dow & Associates to provide administrative services for the previous eight years. The annual cost ranged from \$110,956 (FY 2006/2007) to \$151,312 (FY 2014/15). It must be noted that the increase in this proposal to provide administrative services is realized because of the loss in efficiencies with the separation of contracts and the need to increase the number of staff hours to conduct the designated tasks defined within the scope of work.

A variety of funding sources can be used to fund the Administrative and Fiscal Services contract. DBC recommends the Lake APC Board of Directors work in partnership with the Lake Technical Advisory Committee and Executive Committee to define the funding sources to be used to carry out administrative services. Three funding options are provided in *Table – D* for consideration. As addressed in the SAFE Cost Plan Summary of this proposal, it is expected that SAFE funds also will be programmed for Lake SAFE administration and management.

SAFE COST PLAN SUMMARY

The Lake Service Authority for Freeway Emergencies (SAFE) program generates \$1 annually per registered vehicle in Lake County to support the call box program. A portion of the funds have historically been allocated for administrative purposes to implement the call box program.

Staff of Davey-Bates Consulting has extensive knowledge of the SAFE Program and will coordinate with the planning services consultant to administer the SAFE program. Over the course of this contract, DBC will continue to implement the system. Towards the end of this contract it is anticipated the system will be fully implemented, and the Lake SAFE will spend the majority of its revenues maintaining and monitoring the call box program. DBC staff will also continue to work closely with other SAFEs and consulting firms to invent and install the most reliable and state of the art technology to this rural region.

**LAKE COUNTY
SERVICE AUTHORITY FOR FREEWAY EMERGENCIES (SAFE) SERVICES
Table - C**

Position	Hours per Week	FY 2014/15		FY 2015/16		FY 2016/17		FY 2017/18		FY 2018/19	
		Hourly Rate	Annual Cost	Hourly Rate	Annual Cost	Hourly Rate	Annual Cost	Hourly Rate	Annual Cost	Hourly Rate	Annual Cost
Lisa Davey-Bates, Principal	2	\$109.70	\$9,747	\$110.25	\$9,790	\$110.78	\$9,837	\$111.37	\$9,890	\$112.04	\$9,949
Alexis Pedrotti, Admin/Plng Assistant	2	\$54.36	\$4,835	\$54.77	\$4,871	\$55.22	\$4,911	\$55.73	\$4,956	\$56.29	\$5,006
Yearly Total		*	\$14,582		\$14,661		\$14,748		\$14,846		\$14,955

* Assuming the Lake SAFE contract will become effective on October 1, 2014; the base proposal shown in FY 2014/15 will be reduced to \$10,937, which is 75% of the base year total.